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#### (54) METHOD FOR FABRICATING NANOFIBERS

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This patent is subject to a terminal dis-

claimer.

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## Related U.S. Application Data

- (63) Continuation-in-part of application No. 12/730,644, filed on Mar. 24, 2010, now Pat. No. 8,551,378.
- (60) Provisional application No. 61/162,925, filed on Mar. 24, 2009.

(51) **Int. Cl.** 

**B29B 9/10** (2006.01) **C08F 6/00** (2006.01) **C08J 3/11** (2006.01) (Continued)

(52) U.S. Cl.

CPC ... **D01F 1/10** (2013.01); **D01D 5/40** (2013.01)

(58) Field of Classification Search

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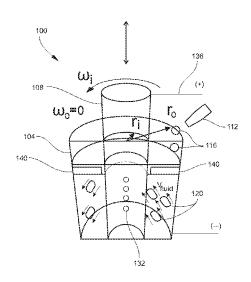
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# (57) ABSTRACT

Nanofibers are fabricated in a continuous process by introducing a polymer solution into a dispersion medium, which flows through a conduit and shears the dispersion medium. Liquid strands, streaks or droplets of the polymer solution are continuously shear-spun into elongated fibers. An inorganic precursor may be introduced with the polymer solution, resulting in fibers that include inorganic fibrils. The resulting composite inorganic/polymer fibers may be provided as an end product. Alternatively, the polymer may be removed to liberate the inorganic fibrils, which may be of the same or smaller cross-section as the polymer fibers and may be provided as an end product.

# 33 Claims, 17 Drawing Sheets



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	D01F 1/10	(2006.01)
	D01D 5/40	(2006.01)

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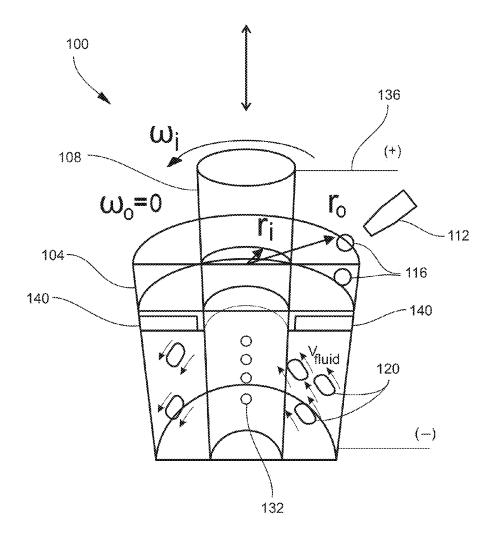


Fig. 1

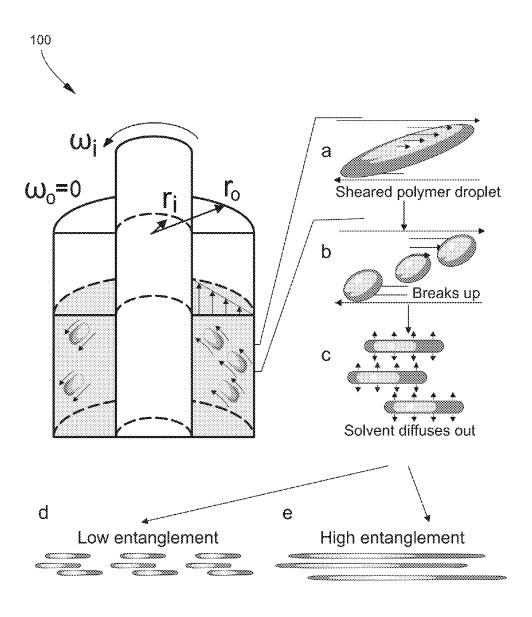


Fig. 2

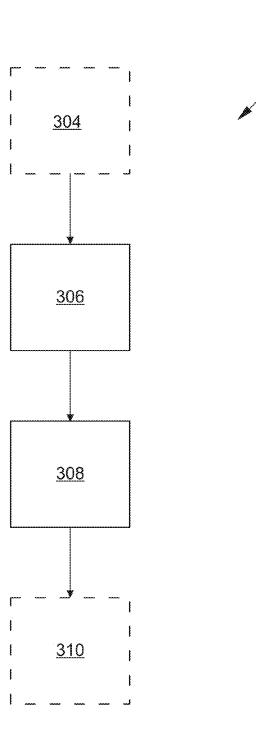
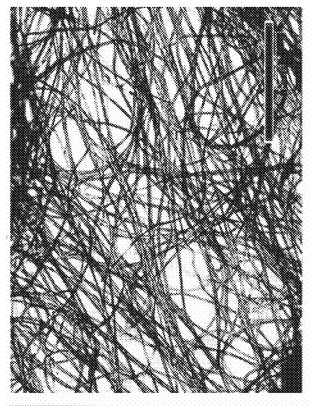


Fig. 3



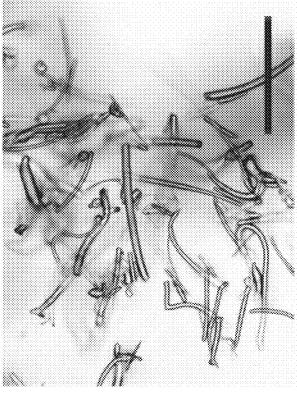


Fig. 45

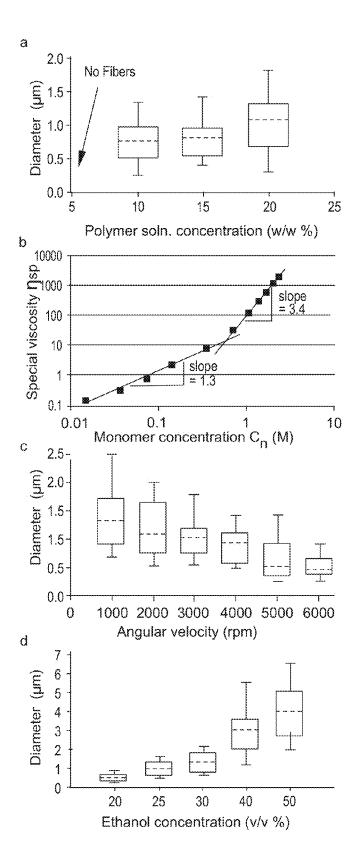


Fig. 5

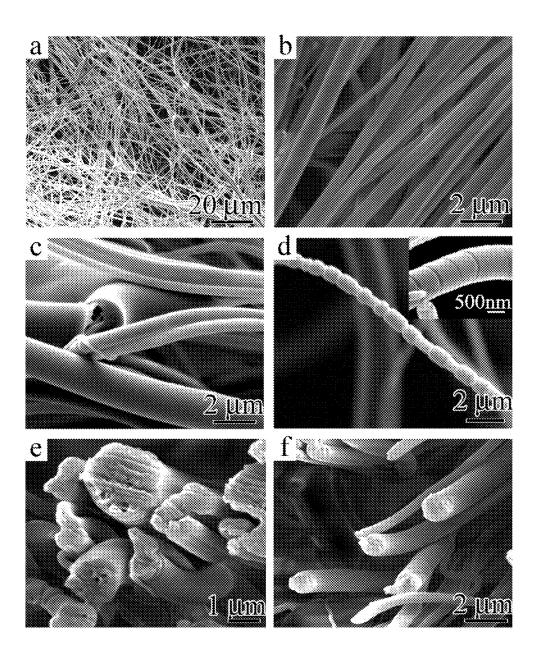


Fig. 6

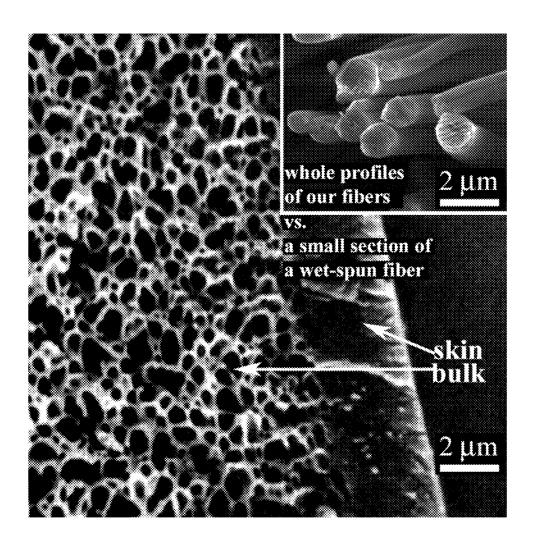


Fig. 7

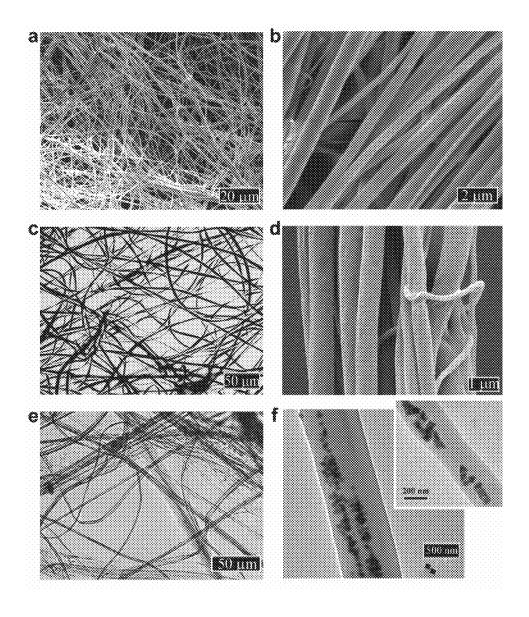


Fig. 8

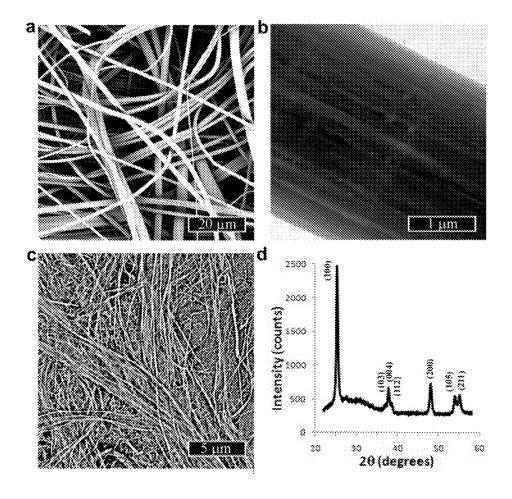
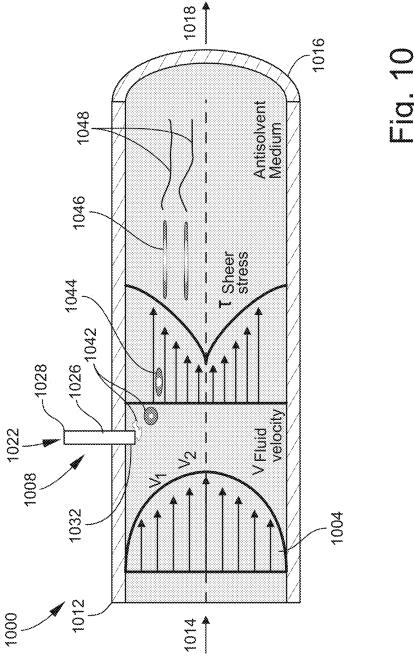


Fig. 9



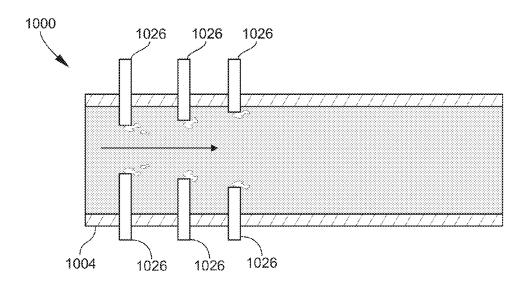
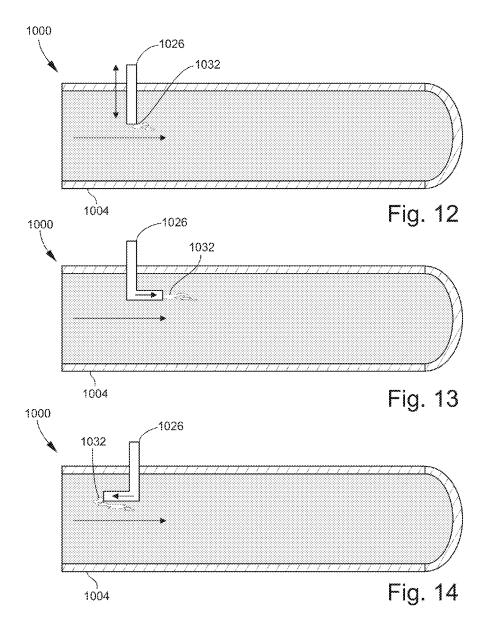


Fig. 11



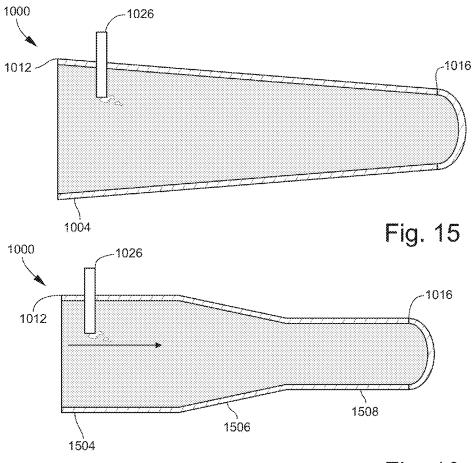


Fig. 16

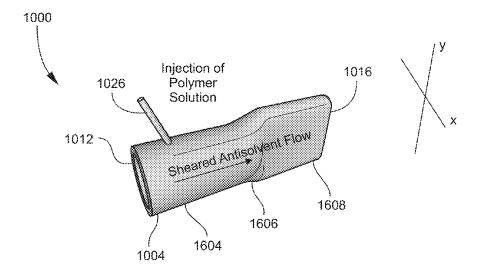


Fig. 17

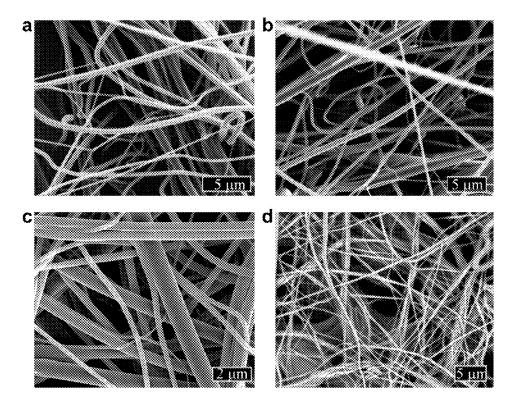


Fig. 18

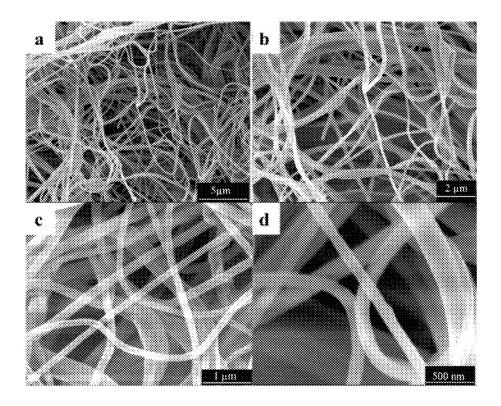


Fig. 19

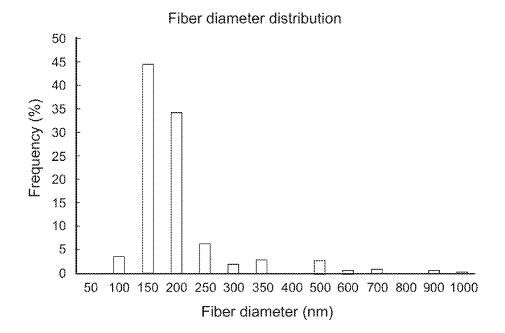


Fig. 20

# METHOD FOR FABRICATING NANOFIBERS

#### RELATED APPLICATIONS

This application is a continuation-in-part of U.S. patent <sup>5</sup> application Ser. No. 12/730.644, filed Mar. 24, 2010, titled "NANOSPINNING OF POLYMER FIBERS FROM SHEARED SOLUTIONS", now U.S. Pat. No. 8,551,378, which claims the benefit of U.S. Provisional Patent Application Ser. No. 61/162,925, filed Mar. 24, 2009, titled "NANO-10" SPINNING OF POLYMER FIBERS FROM SHEARED SOLUTIONS", the contents of which are incorporated by reference herein in their entireties.

## FEDERALLY SPONSORED SUPPORT

This invention was made with government support under Grant Nos. 0927554 and 1127793 awarded by the National Science Foundation. The government has certain rights in the invention.

## TECHNICAL FIELD

The present invention relates generally to nanofibers and relates to nanofibers formed by a continuous process where a polymer solution, or a liquid mixture with or without additives and reactive precursors, is sheared in viscous liquid.

#### BACKGROUND

Fibers form, in part or in whole, a large variety of both consumer and industrial materials such as, for example, clothing and other textile materials, medical prostheses, construction materials and reinforcement materials, and barrier, 35 filtration and absorbent materials. There are two main structural classes of fiber materials: woven and non-woven. An advantage of non-woven fiber materials is their lower produc-

Nanofibers are increasingly being investigated for use in 40 various applications. Nanofibers may attain a high surface area comparable with the finest nanoparticle powders, yet are fairly flexible, and retain one macroscopic dimension which makes them easy to handle, orient and organize. Moreover, the high surface area of nanofibers may facilitate the addition 45 of particles that improve the properties of the nanofibers such as mechanical strength, and/or impart additional functionality such as therapeutic activity, catalytic activity, or microelectronic/optoelectronic functionality.

In the use of nanofibers for applications such as those noted 50 above, high volume and low production cost are generally desirable to achieve commercial viability. Five general methods for the production of fibers with nanometer or singlemicron diameters exist: drawing, phase separation, electrospinning, template synthesis and self-assembly. Of these, 55 melt blowing, splitting/dissolving of bicomponent fibers, and electrospinning have shown a potential for commercial-scale fiber production. The first two techniques are based on mechanical drawing of melts and are well-established in high-volume manufacturing. In melt blowing polymers are 60 extruded from dies and stretched to smaller diameters by heated, high velocity air streams. Bicomponent spinning involves extrusion of two immiscible polymers and two-step processing: (1) melt spinning the two polymer melts through a die with a "segmented pie" or "islands-in-the-sea" configu- 65 ration, followed by solidification and (2) release of small filaments by mechanically breaking the fiber or by dissolving

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one of the components. A disadvantage of these techniques is that they are limited to melt-processable polymers.

Many polymers of commercial interest, including acrylics and especially polymers that are biocompatible and biodegradable, are only processed from their solution. So far no commercial solution spinning method has been developed for creating nanofibers from such polymers. The two main types of solution spinning, dry-spinning and wet spinning, like melt spinning, also involve extrusion of the polymer through an orifice. In dry-spinning the polymer is then drawn through air at elevated temperature while the solvent evaporates. In wetspinning the fiber is then drawn in a coagulation bath.

Electrospinning differs from melt or dry spinning by the 15 physical origin of the electrostatic rather than mechanical forces being used to draw the fibers. Among these three techniques, electrospinning can produce the smallest fibers (20-2000 nm in diameter), and to date has been the only technique that can produce sub-micron fibers from most polymers. 20 However, low production rate is a major disadvantage of this technique. For the wide commercialization of nanofibers there is a need for a method capable of several orders of magnitude higher productivity.

It would also be desirable to create nanofibers that are processes for making them. More specifically, the invention 25 organic/inorganic composites. However, organic and inorganic materials are conventionally made and used separately because of their widely differing precursor chemistries and synthesis procedures. Inorganic materials are typically produced as thin films via vacuum deposition processes, or in some cases as particles via colloidal synthesis. It would be desirable to produce composite nanofibers by way of an integrated process.

> Accordingly, an ongoing need remains for improved techniques for fabricating nanofibers. There is also a need for fabricating composite inorganic/organic nanofibers and pure inorganic nanofibers.

# **SUMMARY**

To address the foregoing problems, in whole or in part, and/or other problems that may have been observed by persons skilled in the art, the present disclosure provides methods, processes, systems, apparatus, instruments, and/or devices, as described by way of example in implementations set forth below.

According to one implementation, a method for fabricating polymer nanofibers includes introducing a polymer solution into a dispersion medium and shearing the polymer solution. Dispersed-phase components of the polymer solution, such as, for example liquid streaks, strands or droplets, of the polymer solution are spun into elongated fibers that are insoluble in the dispersion medium.

According to another implementation, a method for fabricating composite inorganic/polymer nanofibers includes introducing a mixture of a polymer solution and an inorganic precursor into a dispersion medium and shearing the mixture. Dispersed-phase components of the mixture are spun into elongated fibers that include inorganic fibrils.

In some implementations, the inorganic fibrils are liberated from the polymer matrix by, for example, treating the elongated fibers that include the inorganic fibrils to a calcination, chemical treatment, or energy addition process, thereby forming pure or isolated inorganic fibrils. The inorganic fibrils may be of the same cross section size as the original fibers, or may be of much smaller cross section sizes due to longitudinal phase separation of the inorganic component.

A wide variety of polymers and inorganic precursors may be utilized as starting materials, examples of which are given

According to another implementation, a method for fabricating nanofibers includes flowing a dispersion medium 5 through a conduit; introducing a fiber precursor solution into the dispersion medium to form a dispersion system comprising the dispersion medium and a plurality of dispersed-phase components of the fiber precursor solution, wherein the fiber precursor solution comprises a polymer dissolved in a polymer solvent, and the dispersion medium comprises an antisolvent; and shearing the dispersed-phase components by flowing the dispersion system through the conduit, wherein a plurality of nanofibers are formed in the dispersion medium.

According to another implementation, a method for fabri- 15 cating composite inorganic/polymer nanofibers includes flowing a dispersion medium through a conduit; introducing a mixture of a polymer solution and an inorganic precursor into the dispersion medium to form a dispersion system comprising the dispersion medium and a plurality of dispersed- 20 phase components of the mixture, wherein the polymer solution comprises a polymer dissolved in a polymer solvent, and the dispersion medium comprises an anti-solvent; shearing the dispersed-phase components by flowing the dispersion system through the conduit to form a plurality of composite 25 nanofibers, wherein phase separation occurs between the polymer and the inorganic precursor such that a plurality of inorganic fibrils are formed in each nanofiber; and forming an inorganic compound from the inorganic precursor, wherein the inorganic fibrils comprise the inorganic compound.

According to another implementation, a method for fabricating inorganic fibrils includes flowing a dispersion medium through a conduit; introducing a mixture of a polymer solution and an inorganic precursor into the dispersion medium to form a dispersion system comprising the dispersion medium 35 and a plurality of dispersed-phase components of the mixture, wherein the polymer solution comprises a polymer dissolved in a polymer solvent, and the dispersion medium comprises an anti-solvent for the polymer such that the polymer solvent is miscible with the anti-solvent; shearing the dispersed- 40 invention, and be protected by the accompanying claims. phase components by flowing the dispersion system through the conduit to form a plurality of composite nanofibers, wherein phase separation occurs between the polymer and the inorganic precursor such that a plurality of inorganic fibrils are formed in each nanofiber; forming an inorganic com- 45 following figures. The components in the figures are not pound from the inorganic precursor, wherein the inorganic fibrils comprise the inorganic compound; and removing the polymer from the inorganic fibrils.

In some implementations, the dispersion medium has a viscosity of 1 cP or greater. In some implementations, the 50 dispersion medium has a viscosity ranging from 1 cP to 1500 cP or greater.

In some implementations, the ratio of viscosity of the fiber precursor solution to viscosity of the dispersion medium ranges from 0.1 to 200 or greater.

In some implementations, the flow of the dispersion medium is generally laminar. In other implementations, the flow is laminar with localized turbulence at one or more locations. In other implementations, the flow is generally non-laminar.

In some implementations, introducing the fiber precursor solution includes injecting or otherwise introducing a plurality of streams of the fiber precursor solution into the dispersion medium at a plurality of respective locations of the conduit.

According to another implementation, a polymer, composite, or inorganic nanofiber material is provided. In some

implementations, the material may be fabricated by introducing a solution or solution/dispersion mixture into a dispersion medium while shearing the dispersion medium. The nanofiber material may be incorporated into various products, structures or devices, and/or be utilized for various functions or purposes.

According to another implementation, a composite inorganic/polymer nanofiber material that includes inorganic fibrils is provided.

In some implementations, the polymer, composite, or inorganic nanofiber material is provided in the form of one or more nanofibers, a nonwoven article that includes a plurality of nanofibers, or a yarn that includes a plurality of twisted nanofibers.

According to another implementation, an inorganic nanofiber, or "fibril," is provided.

According to another implementation, an apparatus for fabricating nanofibers is provided. The apparatus may include a means, structure or device for containing a dispersion medium, a means, structure or device for adding a fiber precursor solution to the dispersion medium, and a means, structure or device for shearing the fiber precursor solution in the medium. The means, structure or device for containing the dispersion medium may include one or more conduits/pipes through which the dispersion medium flows and in which the fiber precursor solution is introduced. In some implementations, the means, structure or device for shearing the fiber precursor solution includes the conduit(s), wherein the flowing dispersion medium imparts shear to the fiber precursor solution.

In various implementations, the apparatus may include a means, structure or device for controlling the amount of shear stress or force applied.

Other devices, apparatus, systems, methods, features and advantages of the invention will be or will become apparent to one with skill in the art upon examination of the following figures and detailed description. It is intended that all such additional systems, methods, features and advantages be included within this description, be within the scope of the

# BRIEF DESCRIPTION OF THE DRAWINGS

The invention can be better understood by referring to the necessarily to scale, emphasis instead being placed upon illustrating the principles of the invention. In the figures, like reference numerals designate corresponding parts throughout the different views.

FIG. 1 is a cross-sectional view of an example of an apparatus or system that may be utilized for fabricating nanofibers in accordance with certain implementations of the present

FIGS. 2A to 2E illustrate the formation of either nanorods 55 (FIG. 2D) or nanofibers (FIG. 2E) from fiber precursor solution sheared in the device in accordance with the present

FIG. 3 is a flow diagram illustrating an example of a method for fabricating polymer nanofibers in accordance with the present disclosure.

FIGS. 4A and 4B are a set of optical micrographs of structures obtained from polystyrene solutions sheared in a medium containing 75% glycerol:25% ethanol, sheared at  $2000 \ rpm$  for 3 min, in which all scale bars=100  $\mu m.$  Specifically, FIG. 4A shows short polymer rods formed from the 5.8 k molecular weight (MW) polymer, and FIG. 4B shows long fibers formed from the 230 k MW polymer.

FIGS. 5A to 5D are a set of data demonstrating the dependence of fiber diameters on values of processing parameters. Specifically, FIG. 5A plots fiber diameter (µm) as a function of polymer solution concentration (w/w %), demonstrating the effect of polymer solution concentration in a device such 5 as illustrated in FIG. 1. FIG. 5B is a log-log plot of zero-shear viscosity (specific viscosity  $\eta_{sp}$ ) of a series of solutions of varying concentrations  $C_n$  (M) of styrene monomers; the entanglement concentration is located at the intersection of the two straight power-law regions. FIG. 5C plots fiber diam- 10 eter (μm) as a function of angular velocity ω (rpm) of a rotating shearing element of a device such as illustrated in FIG. 1, demonstrating the effect of shear stress  $\tau$ , as shown, the average fiber diameter and distribution decrease with increasing angular velocity ω. FIG. 5D plots fiber diameter 15 (μm) as a function of ethanol concentration (v/v %), demonstrating the effect of shearing medium composition provided in a device such as illustrated in FIG. 1. Increasing the ethanol (antisolvent) concentration significantly increased both the diameter and polydispersity of the fibers. The bars denote the 20 10-90% range, the denote the 25-75% range, and the lines denote the average diameter in the size distribution.

FIGS. **6**A to **6**F are a set of scanning electron microscopy (SEM) micrographs of PS fibers formed from 15% solution (w/w in CHCl<sub>3</sub>) sheared into 75% glycerol:25% ethanol at 25 2000 rpm. Specifically, FIGS. **6**A and **6**B show typical fibers produced. FIG. **6**C shows a rare broken fiber with a void space in its interior. Cross-sectional SEM and TEM imaging showed fiber interiors were solid polymer. FIG. **6**D indicates that approximately 5% of the fibers have an uneven surface, 30 which upon closer examination was considered to be due to a series of closely-spaced necking deformations with constant diameter sections in between. FIGS. **6**E and **6**F show the cross-section of fibers after fracturing in liquid nitrogen. Larger fibers with diameters >~1 μm have a few small pores 35 (FIG. **6**E), but no such pores are observed in smaller fibers (FIG. **6**F).

FIG. 7 is a SEM micrograph showing a same-scale comparison of a partial cross-section of a typical wet-spun fiber and cross-sections of nanospun PS fibers (inset) formed by 40 the present teaching. The outer skin of the wet-spun fiber (2-3 µm) has a different morphology from the macropore-filled bulk of the fiber. The skin is produced by quick precipitation of the polymer when it comes in contact with the polymer solution. The macropores inside the fiber result from the 45 slower diffusion of solvent through this skin barrier and subsequent phase-separation processes. The fibers formed by the present teaching have diameters much smaller than the skin layer, so it is likely that they are produced by precipitation mechanisms.

FIGS. 8A to 8F are a set of optical micrographs illustrating the following: FIG. 8A is a SEM micrograph of PS fibers formed from 15% solution (w/w in CHCl<sub>3</sub>) sheared into 75% glycerol:25% ethanol at 2000 rpm. FIG. 8B is a high-resolution SEM micrograph of the fibers shown in Figure A. FIGS. 55 8C and 8D are optical and SEM micrographs of cellulose acetate (CA) microfibers, produced by shearing a 10% CA solution (w/w in acetone) into a medium with 75% glycerol: 25% water (v/v) at 2000 rpm for 2 min. FIG. 8E shows poly-lactic acid fibers (PLA), produced by shearing a 1% 60 PLA solution (w/w in CHCl<sub>3</sub>) into a medium with 37% glycerol:63% ethanol (v/v) at 2000 rpm for 8 min. FIG. 8F is a TEM micrograph of a composite PS fiber containing 50 nm magnetite (Fe<sub>3</sub>O<sub>4</sub>) nanocubes. The fibers were produced by shearing a PS solution (10% w/w in CHCl<sub>3</sub>, containing 65 ~0.5% w/w Fe<sub>3</sub>O<sub>4</sub> nanocubes) into a 75% glycerol:25% ethanol medium at 2000 rpm for 3 min.

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FIGS. 9A to 9D illustrate the following: FIG. 9A is a SEM micrograph of PS/TiO $_2$  composite fibers obtained by shearing chloroform solutions of PS (13.5% w/w) and Ti(IV)isopropoxide (TIPP) (7.6% w/w) in a medium of 75% glycerol and 25% EtOH, with the scale bar=20  $\mu$ m. FIG. 9B is a TEM micrograph of the fibers shown in FIG. 9B, showing stripes of varying electron density in the composite fibers, with the scale bar=500 nm. FIG. 9C is a SEM micrograph of the composite fibers shown in FIGS. 9A and 9B after calcination in air at 515° C. for 18 hrs, with the scale bar=5  $\mu$ m. After removing all the polystyrene from the composites, only titania nanofibers remain. FIG. 9D is an XRD spectrum (intensity (counts) as a function of 2 $\theta$  (degrees)) of the titania nanofibers show they are composed of anatase. All the peaks are referenced to specific anatase diffraction planes.

 ${\rm FIG.}\, 10$  is a schematic view of an example of a continuous shear flow apparatus that may be utilized for fabricating nanofibers in accordance with certain implementations of the present disclosure.

FIG. 11 is a schematic view of an example of a continuous shear flow apparatus with multiple secondary conduit injection or insertion points along the length of the main shear flow conduit.

FIG. 12 is a schematic view of another example of a continuous shear flow apparatus in which a second conduit is movable relative to a shear flow conduit.

FIGS. 13 and 14 are schematic views of other examples of a continuous shear flow apparatus, in which the second conduit has a bent geometry.

FIGS. **15-17** are schematic views of other examples of a continuous shear flow apparatus, in which the geometry of the shear flow conduit is modified along the length of the shear flow conduit.

FIG.  $18\mathrm{A}$  is an SEM micrograph of nanofibers produced by a batch process utilizing an apparatus such as illustrated in FIG. 1

FIGS. **18**B, **18**C and **18**D are SEM micrographs of nanofibers produced by a continuous process utilizing an apparatus such as illustrated in FIG. **10**.

FIGS. 19A, 19B, 19C and 19D are SEM micrographs of very fine (nanoscale diameter) polystyrene nanofibers at different magnifications produced by the continuous process utilizing an apparatus such as illustrated in FIG. 10.

FIG. 20 shows the fiber diameter distribution for the very fine polystyrene nanofibers produced with frequency (%) plotted versus fiber diameter (nm).

# DETAILED DESCRIPTION

As used herein, the term nanofiber refers generally to an elongated fiber structure having an average diameter ranging from less than 50 nm to 5000 nm or greater. In some examples, the average diameter may range from 40 nm to 5000 nm. The "average" diameter may take into account not only that the diameters of individual nanofibers making up a plurality of nanofibers formed by implementing the presently disclosed method may vary somewhat, but also that the diameter of an individual nanofiber may not be uniform over its length in some implementations of the method. In various examples, the average length of the nanofibers may be a high as millions of nm. In various examples, the aspect ratio (length/diameter) of the nanofibers may be as high as millions. In some specific examples, we have demonstrated nanofibers with aspect ratios of at least 10,000. Insofar as the diameter of the nanofiber may be on the order of a few

microns or less, for convenience the term "nanofiber" as used herein encompasses both nano-scale fibers and micro-scale fibers (microfibers).

As used herein, the term nanorod refers generally to a structure having an aspect ratio (length/diameter) of less than 5 100.

As used herein, the term fibril refers generally to an elongated fiber structure having an average diameter ranging from about 1 nm-1,000 nm in some examples, in other examples ranging from about 1 nm-500 nm, and in other examples ranging from about 25 nm-250 nm. According to certain methods described below, fibrils are formed by phase separation from nanofibers. In these methods, the diameter of a fibril is generally smaller than the diameter of the nanofiber with which it is associated, and typically smaller by an order 15 of magnitude. In these methods, a fibril may be composed of an inorganic precursor or an inorganic compound. Fibrils may also be characterized as nanofibers. In the present disclosure, the term "fibrils" distinguishes these structures from the polymer nanofibers utilized to form the inorganic fibrils. 20 The length of the fibrils may be about same as the polymer nanofibers or may be shorter.

As used herein, the term inorganic precursor refers to any compound from which an inorganic compound may be formed (derived, produced, synthesized, etc.), with or without the use of a reagent, catalyst, or addition of energy. As one non-limiting example, titanium isopropoxide, or  $\text{Ti}(\text{OCH}(\text{CH}_3)_2)_4$ , is an inorganic precursor for titania (titanium (IV) oxide, or  $\text{Ti}O_2$ ). Titanium isopropoxide may, for example, be reacted with water to form titania.

As used herein, the term microparticle or nanoparticle refers to any particle that may form a composite with a nanofiber fabricated in accordance with the present teachings. The average size of nanoparticles may range from 1 to 100 nm or greater. More generally, the average size of microparticles or 35 nanoparticles may range from 0.5 nm to 10 µm. In the present context, the term "size" takes into account the fact that the nanoparticles may exhibit irregular shapes such that "size" corresponds to the characteristic dimension of the nanoparticles. For example, if the shapes of the nanoparticles are 40 approximated as spheres, the characteristic dimension may be considered to be a diameter. As another example, if the shapes of the nanoparticles are approximated as prisms or polygons (i.e., rectilinear dimensions), the characteristic dimension may be considered to be a predominant length, 45 width, height, etc. For convenience, the terms "nanoparticle," "microparticle" and "particle" are used interchangeably to encompass both nanoparticles and microparticles, unless specified otherwise.

As used herein, the terms "anti-solvent" and "coagulant" 50 are used interchangeably unless specified otherwise.

The present disclosure describes efficient and scalable methods for processing fiber precursor solutions into nanofibers, which combines phase separation and shear forces. In some implementations, the fiber precursor solutions are polymer solutions. In one aspect, the method may be characterized as entailing a bulk process of antisolvent-induced precipitation under shear stress in viscous media. This approach differs significantly from existing technologies for creating nanofibers (e.g., electrospinning, bicomponent splitting, and 60 melt-blowing) and overcomes a number of their limitations. This process does not rely on nozzles for polymer extrusion and therefore overcomes the major limitations of wet-spinning in terms of high feeding pressures, nozzle blockage, and use of particulate additives. Thus, the method may advanta- 65 geously be employed for producing composite fibers incorporating nanoparticles and/or other additives and material

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precursors. Moreover, the process takes place in the bulk volume of the medium liquid, and a massive number of fibers may be formed in parallel at the same time. Thus, the process is scalable and can be tailored to produce fibers of a wide variety of polymers, composites and inorganic materials with diameters and lengths typically falling within the ranges indicated above.

In other implementations, the fiber precursor solutions are mixtures (or blends) of polymer solutions and inorganic precursors. Thus, the present disclosure also describes efficient and scalable methods for fabricating composite inorganic/polymer nanofibers that include inorganic fibrils in each polymer nanofiber. This method also entails antisolvent-induced precipitation under shear stress in viscous media. Additionally, inorganic fibrils are formed via phase separation from the polymer nanofibers. The composite nanofibers may additionally incorporate nanoparticles and/or other additives and material precursors. In some implementations, the inorganic fibrils may be isolated from the polymer fraction whereby the inorganic fibrils may be provided as an end product.

In some implementations, the methods for fabricating nanofibers based on shear and antisolvent-based polymer precipitation are batch processes. In other implementations, the methods are continuous processes.

Polymer Nanofibers

According to various implementations, polymer nanofibers are provided. Methods disclosed herein for fabricating the polymer nanofibers entail the use of shear stresses in a liquid-liquid dispersion system (or bi-phase liquid dispersion system) to form and stretch nanofibers. Operationally, the actual formation of these nanofibers may be considered as being accomplished in just one or two steps, although the formation process may also be considered as entailing various sub-steps or events. According to certain implementations, a polymer solution is introduced into a dispersion medium (also termed a shearing medium herein). Any means for introducing, injecting or inserting the polymer solution may be employed (e.g., syringe, tube, orifice, nozzle, etc.). The polymer solution includes a polymer dispersed in any solvent ("polymer solvent") capable of dissolving the polymer and forming a stable solution. Optionally, the polymer solution may additionally include one or more additives for various purposes such as, for example, to impart or enhance a certain function or property of the nanofibers being formed, to facilitate the process by which the nanofibers are formed, etc. The dispersion medium generally should be sufficiently viscous as to enable through shear and elongation the nanofiber formation in the manner described herein. In particular, the viscosity of the dispersion medium should be high enough to provide a sufficiently high shear stress  $\tau = \mu G$  for a given shear rate G. Additionally, the dispersion medium is or includes a component that behaves as an anti-solvent for the polymer of the polymer solution that causes the polymer to precipitate out of solution. The anti-solvent should be sufficiently miscible with the polymer solvent as to enable the nanofiber formation in the manner described herein. The polymer solution resides in the dispersion medium in the form of a dispersed phase comprising a plurality of dispersed-phase components (or dispersed-phase units, or dispersed-phase species) that are dispersed throughout the volume of the dispersion medium. This results in a dispersion system comprising the dispersed-phase components (collectively, the dispersed phase) and the dispersion medium. The dispersedphase components may be in the form of liquid streaks, liquid strands, and/or liquid droplets of various shapes and shape ratios. Accordingly, in the present disclosure the terms dispersed-phase components, streaks, strands, and droplets are

used interchangeably unless specified otherwise. Depending on the nature of the polymer solution and the manner in which it is introduced, the polymer solution may enter the dispersion medium already in the form of dispersed-phase components or may enter in a continuous stream and break up into dispersed-phase components in the dispersion medium.

During the introduction of the polymer solution into the dispersion medium, the dispersion system (and more particularly the dispersed-phase components of the polymer solution present in the dispersion medium) is sheared. Any means or device may be utilized to impart a shearing action to the dispersed-phase components in a batch or continuous process. In certain implementations, one or more surfaces confining the volume of the dispersion medium may be moved (e.g., rotated, translated, twisted, etc.) relative to one or more 15 stationary or other moving surfaces. The shearing of the dispersion system deforms the dispersed-phase polymer solution into liquid filament streams due to capillary instabilities. These filaments are further stretched under a mechanism of shear-force elongation. At the same time, the polymer sol- 20 vent, being miscible with the dispersion medium, diffuses out from the dispersed-phase components/filaments and into the dispersion medium. As a result, insoluble nanofibers composed of the polymer are formed. From the point in time at which the polymer solution begins to be added to the disper- 25 sion medium, the duration of time required to the form nanofibers in a batch process is typically on the order of less than a few seconds to more than a few tens of seconds. In an apparatus such as described below with 6 ml volume, fibers may be formed at a rate of up to 0.1 g/min. Generally, the production 30 rate should scale with the volume of the apparatus and/or shear fluid flux or volume.

Optionally, the as-formed nanofibers may be composites that include nanoparticles, microparticles or other additives retained by the polymer component. In the present context, 35 the term "retained" indicates that such nanoparticles, microparticles or other additives may be disposed on the outer surface of, and/or embedded in (or encapsulated by) the polymer component. Such nanoparticles, microparticles or other additives would typically be included in the polymer solution 40 introduced into the dispersion medium. More generally, depending at least in part on the type of nanoparticles, microparticles or other additives, they may be introduced before or during shearing such as by being dispersed in the polymer solution or by being introduced into the dispersion medium 45 separately from the polymer solution. Alternatively, the nanoparticles, microparticles or other additives may be introduced after shearing such as by being introduced into the dispersion medium while the as-formed nanofibers are still resident in the dispersion medium, or by being added to the nanofibers by 50 any suitable manner (e.g., coating, vapor deposition, etc.) after the nanofibers have been separated from the dispersion

A notable advantage of the present method is that it is not limited to the use of any particular polymer or class of polymers. Polymers encompassed by the present disclosure generally may be any naturally-occurring or synthetic polymers capable of being fabricated into nanofibers in accordance with the shear-driven nanospinning technique taught herein. Non-limiting examples of polymers include many high 60 molecular weight (MW) solution-processable polymers such as polyethylene (more generally, various polyolefins), polystyrene, cellulose, cellulose acetate, poly(L-lactic acid) or PLA, polyacrylonitrile, polyvinylidene difluoride, conjugated organic semiconducting and conducting polymers, 65 biopolymers such as polynucleotides (DNA) and polypeptides, etc. In typical implementations of the present method,

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linear high-MW polymers have a MW ranging from ~20,000-30,000 Da or greater for formation of high-aspect ratio fibers. In other implementations, a MW ranging from about 15,000 Da or greater may be sufficient for formation of high-aspect ratio fibers. In other implementations, a MW ranging from about 10,000 Da or greater may be sufficient for formation of high-aspect ratio fibers. Generally, higher MW ranges would likely be required for branched polymers. More generally, any molecular weight could be used without departing from the invention, including below 10,000.

Other examples of suitable polymers include vinyl polymers such as, but not limited to, polyethylene, polypropylene, poly(vinyl chloride), polystyrene, polytetrafluoroethylene, poly(α-methylstyrene), poly(acrylic acid), poly(isobutylene), poly(acrylonitrile), poly(methacrylic acid), poly(methyl methacrylate), poly(1-pentene), poly(1,3-butadiene), poly(vinyl acetate), poly(2-vinyl pyridine), 1,4-polyisoprene, and 3,4-polychloroprene. Additional examples include nonvinyl polymers such as, but not limited to, poly(ethylene oxide), polyformaldehyde, polyacetaldehyde, poly(3-propionate), poly(10-decanoate), poly(ethylene terephthalate), polycaprolactam, poly(11-undecanoamide), poly(hexamethylene sebacamide), poly(m-phenylene terephthalate), poly (tetramethylene-m-benzenesulfonamide). Additional polymers include those falling within one of the following polymer classes: polyolefin, polyether (including all epoxy resins, polyacetal, polyetheretherketone, polyetherimide, and poly(phenylene oxide)), polyamide (including polyureas), polyamideimide, polyarylate, polybenzimidazole, polyester (including polycarbonates), polyurethane, polyimide, polyhydrazide, phenolic resins, polysilane, polysiloxane, polycarbodiimide, polyimine, azo polymers, polysulfide, and polysulfone.

As noted above, the polymer can be synthetic or naturallyoccurring. Examples of natural polymers include, but are not limited to, polysaccharides and derivatives thereof such as cellulosic polymers (e.g., cellulose and derivatives thereof as well as cellulose production byproducts such as lignin) and starch polymers (as well as other branched or non-linear polymers, either naturally occurring or synthetic). Exemplary derivatives of starch and cellulose include various esters, ethers, and graft copolymers. Other examples of natural biopolymers include chitin, chitosan and their derivatives, alginates, xantans and various gums. The polymer may be crosslinkable in the presence of a multifunctional crosslinking agent or crosslinkable upon exposure to actinic radiation or other type of radiation. The polymer may be homopolymers of any of the foregoing polymers, random copolymers, block copolymers, alternating copolymers, random tripolymers, block tripolymers, alternating tripolymers, derivatives thereof (e.g., graft copolymers, esters, or ethers thereof), and the like.

As indicated above, the polymer solvent may generally be any solvent capable of dissolving the polymer being processed, and which is completely or partially miscible with the antisolvent dispersion medium to a degree sufficient for forming nanofibers in accordance with the present teachings. Complete or full miscibility generally means that two (or more) liquids are miscible with each other in all proportions. Partial miscibility generally means that the degree to which the two (or more) liquids are miscible with each other is not necessarily the same. Typically, partially miscible solvents have a solubility in each other of at least 5 g/L at 25° C. For convenience, the term "miscible" as used herein encompasses partial miscibility as well as full miscibility, consistent with the foregoing statements. Non-limiting examples of polymer solvents include chloroform (CHCl<sub>3</sub>), acetone, toluene, tet-

rahydrofuran (THF), formic acid, acetic acid, dimethylformamide (DMF), dimethylacetamide (DMAc), dichloromethane (DCM), ethanol, ethylene glycol (EG) and glycol derivatives, and other polar and non-polar organic solvents, water, water with varied pH values, water with varied salt 5 concentration, dissolved and supercritical carbon dioxide, mixtures of two or more of the foregoing, and mixtures of one or more of the foregoing with other solvents.

Polymer solution concentrations typically range from 0.1 wt % to over 50 wt %, with generally lower wt % for higher 10 MW polymers in order to achieve the optimal viscosities. More generally, however, the polymer solution concentration will depend on the polymer type and molecular weight.

As indicated above, the dispersion medium may generally include any component or components that serve as an anti- 15 solvent for the polymer being processed, but which is miscible with the polymer solvent being utilized. Stated in another way, the anti-solvent may be any liquid or solution in which the polymer does not dissolve, which may include the dispersion medium itself or specific additives. Non-limiting 20 examples of dispersion media include various alcohols such as ethanol, methanol, isopropanol, glycerol or the like, and combinations of two or more alcohols such as glycerol/ethanol, as well as water. As an example, glycerol may be included to control the viscosity of the dispersion medium, with etha-25 nol or water also included for its miscibility with the polymer solvent to provide a pathway for the polymer solvent to leave the fibers whereby the fibers can be stably formed. Various biopolymers, biomacromolecules, conditioners and thickeners may also be used to adjust the media viscosity.

In advantageous implementations, the viscosity of the dispersion medium ranges from about 1 cP or greater. In other implementations, the viscosity of the dispersion medium ranges from about 1 cP to 1500 cP (or higher). In advantageous implementations, the ratio  $p=\mu_1/\mu_2$  of the viscosities of 35 polymer solution and the dispersion medium ranges from ~0.1 to 200.

In advantageous implementations, the shear stress applied to the dispersion medium while the polymer solution is added and the nanofibers are being formed ranges from about  $10\,\mathrm{Pa}$  40 to  $1000\,\mathrm{Pa}$ . In some specific examples demonstrated herein, the applied shear stress ranges from ~30 to ~100 Pa.

The insolubility of the polymer in the dispersion medium may be characterized in advantageous implementations as the polymer having a solubility in the anti-solvent of (or comprising) the dispersion medium of less than about  $2\,\mathrm{g/L}$  at  $25^\circ$  C., preferably less than about  $1\,\mathrm{g/L}$ , more preferably less than about  $0.5\,\mathrm{g/L}$ , and most preferably less than about  $0.1\,\mathrm{g/L}$ .

The concentration of the antisolvent medium will generally depend on the polymer-antisolvent interactions as well as 50 the polymer-solvent interactions. For a system where the polymer is barely soluble in the solvent, minute amounts of antisolvent would be sufficient for the formation of fibers.

As noted above, an advantage of the method disclosed herein is that it does not require the use of nozzles. This 55 feature enables the incorporation of additives without the risk of clogging a nozzle or unduly increasing the operating pressure of extrusion. Examples of possible additives include, but are not limited to, ceramics such as titania, alumina, zirconia and various clays, silica, glasses, bioceramics, bioactive 60 glasses, metals (e.g., silver, gold, etc.), metal alloys, metal oxides, metalloids (e.g., silicon, germanium, semiconductor and quantum dot forming materials etc.) and their oxides, graphite, carbon black, various graphene nanosheets and carbon nanotubes (CNTs). Additives may be included for various purposes such as imparting to or enhancing a property or function of the nanofiber, for example strength, anti-bacterial

activity, therapeutic activity (e.g., pharmaceutical drug crystals), conductivity, semiconductivity (e.g., quantum dots, semiconductor nanoparticles), magnetic behavior, porosity, hydrophobicity, selective permeability, selective affinity to various materials, adhesiveness, enzymatic or catalytic activity, biocompatibility, biodegradability, biological adhesion, biological recognition and/or binding, chemical inertness, polarity, selective retention and/or enrichment of analytes in analytical separation techniques, etc. As one example, high molecular-weight polyethylene, known for its strength, could be strengthened by the incorporation of CNTs.

In addition to nanostructures and microstructures, other types of additives may be added to the polymer solution or the dispersion medium for various purposes. Examples include, but are not limited to, colorants (e.g., fluorescent dyes and pigments), odorants, deodorants, plasticizers, impact modifiers, fillers, nucleating agents, lubricants, surfactants, wetting agents, flame retardants, ultraviolet light stabilizers, antioxidants, biocides, thickening agents, heat stabilizers, defoaming agents, blowing agents, emulsifiers, crosslinking agents, waxes, particulates, flow promoters, and other materials added to enhance processability or end-use properties of the polymeric components. Such additives can be used in conventional amounts. These additives can be added before, during or after formation of the polymer dispersion and/or formation of the polymer fibers. In certain embodiments, a surfactant, such as a nonionic or anionic surfactant, is added to a solution comprising the fibers in order to enhance dispersion of the fibers in the solution, particularly where the fibers are in an aqueous solution.

Nanofibers produced according to the present disclosure may be solid, hollow, or porous, where the pores may be opened or closed. Hollow fibers, for example, may be formed by shearing double emulsions with polymer-containing dispersed-phase components of various controlled sizes. When a double emulsion dispersed-phase component is stretched by the shear flow in accordance with the presently disclosed method, as only one of the phases interacts favorably with the dispersion medium, a core-shell fiber may be fabricated. If the immiscible core of the fiber is a liquid, it may be subsequently washed out to create a hollow tube.

If the polymer solution is introduced into the dispersion medium in the form of pre-formed dispersed-phase components, e.g., as an emulsion, the length of the fibers that could be obtained may be limited by the size of the dispersed-phase components. This variation of the method may allow one to produce polymer rods, potentially with good control over length and aspect ratio, from high molecular weight polymers that normally form only fibers.

Nanofibers produced according to the present disclosure have a wide variety of applications. As a few non-limiting examples, polystyrene fibers may be utilized to fabricate disposable nonwoven sheets, pads or foam products. Other examples include medical prostheses, textured surfaces and sensors (including implantable, ingestible and transdermal applications); biomedical textiles; scaffolds in tissue engineering; bioceramics; vehicles for delivery of biological or chemical materials; smart materials responsive to external stimuli (e.g., pH, light, heat, moisture), such as for customized heat response near the human body temperature, tuned pH/humidity response for protective clothing textiles, adjustable breathability, and combat-field materials for smart gasmask filters with selective responses to virus, gas and other threats; electromagnetic shielding; acoustical insulation; photocatalysts; protective clothing (including antibacterial, photo-protective, etc.); wound dressings; and conductive and/ or electronic textiles such as flexible organic and hybrid

organic/inorganic microcircuit textiles, LED light color modifiers, and photovoltaics including solar cells. Nanofibers produced from various polymers may be utilized in the fabrication of filters and barriers for nano-scale and micro-scale applications, including purification of proteins and other 5 biopolymers, and membranes for hydrogen production. Nanofibers may be processed according to the present disclosure from recycled polystyrene and subsequently utilized to fabricate fiber-based products of higher value than recycled products fabricated from conventional techniques. Nanofibers spun from DNA may be utilized to create templates for biomimetric or biological materials. Polypeptides, proteins and their derivatives may be utilized to fabricate biocompatible fibers, silks, and many other products. Other examples of applications include those noted above in the background 15 section of this disclosure.

FIG. 1 is a schematic view of an example of an apparatus or system 100 that may be utilized for fabricating the nanofibers. The apparatus 100 generally includes a container 104 for containing a volume of dispersion medium and receiving 20 polymer solution, a structure 108 extending out from the container 104, and a dispensing device 112 for supplying the polymer solution to the dispersion medium. The dispensing device 112 may be of any suitable type for introducing the polymer solution (optionally with additives) into the disper- 25 sion medium from a suitable supply source (not shown). The container 104 and the structure 108 may be configured such that they both provide surfaces cooperatively defining the boundaries of the volume of the dispersion medium, and such that the container 104 and/or the structure 108 move. That is, 30 the container 104 serves as an outer boundary or surface and the structure 108 serves as an inner boundary or surface, at least one of which moves relative to the other to effect shearing. In the present example, the container 104 is a stationary outer cylinder and the structure 108 is an inner cylinder 35 extending upward from the inside bottom of the outer cylinder in a concentric arrangement along its center axis. The outer cylinder and the inner cylinder cooperatively define an annular cylindrical interior containing the dispersion medium. The inner cylinder is driven by a suitable motor (not 40 shown) to rotate at a desired angular velocity about the center axis, as indicated by an arrow. The polymer solution supplying device 112 may be any suitable conduit or applicator that dispenses the polymer solution from its tip by any operating principle (e.g., pumping action, capillary action, etc.). Rota- 45 tion of the inner cylinder relative to the stationary outer cylinder imparts a shear stress to the components contained in the outer cylinder. By way of example, FIG. 1 illustrates polymer solution being dispensed into the outer cylinder 104 as droplets 116 and dispersed-phase components 120 of the 50 polymer solution undergoing shear in the dispersion medium, which as described below causes polymer solvent to diffuse out from the dispersed-phase components 120 into the dispersion medium.

The apparatus 100 illustrated in FIG. 1 is advantageous in 55 that it can generate uniform shear stress. Moreover, the shear stress may be highly tunable by changing one or more variables that control the shear stress proportionately, such as the viscosity of the dispersion medium (i.e., the shear medium), the shear rate (e.g., the revolution speed of the inner cylinder in the present example), and the gap between the outer cylinder and the inner cylinder. By controlling the shear stress, while keeping the shear stress uniform, one may control the final diameter of the uniform fibers produced by the apparatus 100. It will be understood that the present teachings are not 65 limited, however, to the apparatus 100 illustrated by example in FIG. 1. Many other designs and types of apparatus may be

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suitable, but preferably are configured to enable the maintaining of uniform shear stress and control over the uniform shear stress as just described.

In the example illustrated in FIG. 1, the outer cylinder (container 104) has a radius of  $r_o$  relative to its central axis, and the inner cylinder (structure 108) has a radius of  $r_i$  relative to the same axis. The inner cylinder rotates at an angular velocity of  $\omega_i$  and the outer cylinder is stationary ( $\omega_o$ =0). The dispersion medium is or approximates a Newtonian fluid such that its fluid velocity profile may be depicted as shown during rotation of the inner cylinder.

As an alternative, the apparatus 100 may be configured to rotate the outer cylinder 104 at an angular velocity of  $\omega_o$  while the inner cylinder 108 remains stationary ( $\omega_i$ =0). In this case, the dispersion medium will have a different fluid velocity profile (not shown) in which the velocity vectors are largest near the rotating outer cylinder 104 and smallest near the stationary inner cylinder 108. Rotation of the outer cylinder 104 may be useful for operating at higher shear stress without the onset of turbulence. As indicated by an arrow in FIG. 1, in some implementations the apparatus 100 may be configured to reciprocate or oscillate the inner cylinder 108 along its axis, i.e., in an axial direction orthogonal to the radial gap between the outer cylinder 104 and the inner cylinder 108, which may further contribute to stabilizing the flow. In other implementations, the polymer solution may be delivered to the dispersion medium through openings 132 formed through the inner cylinder 108 or other types of orifices, tubes or injectors.

In still other implementations, an electrical field may be applied in a radial direction by applying a voltage potential between the outer cylinder 104 and the inner cylinder 108, as depicted schematically by a positive terminal 136 and a negative terminal 138. Alternatively, the apparatus 100 may be configured to apply an electrical field in an axial direction. Depending on the kinetics of the fiber formation, it is possible to permanently polarize electrostatically fibers containing polar side-group chains. Hence, fibers exhibiting anisotropic surface properties may be formed. It is also possible to displace the nanoparticles inside the polymer creating fibers with anisotropic bulk structure. Other types of fields that can be applied during the shear formation process to modify the properties of the nanofibers formed include magnetic fields, light fields or thermal gradients.

FIGS. 2A to 2E illustrate the formation of either nanorods (FIG. 2D) or nanofibers (FIG. 2E) from dispersed-phase components of the polymer solution (FIG. 2A), schematically depicting the mechanism of rod or fiber formation by way of solvent attrition under shear in accordance with the present teachings. After a dispersed-phase component is introduced into the dispersion medium, it becomes deformed due to shear stress (FIG. 2A). The dispersed-phase component may break up into smaller components (FIG. 2B) until the shear forces are balanced by the interfacial tension forces. The dispersedphase components then elongate and stiffen as the polymer solvent diffuses out into the dispersion medium, thereby forming proto-fibers (FIG. 2C). The anti-solvent of the dispersion medium may coat the proto-fibers and may diffuse into the proto-fibers. As described further below, it has been discovered that the molecular weight (MW) of the polymer plays a role in the rod/fiber formation process. Specifically, it has now been found as a general case that low-MW polymers result in the formation of polymer rods (FIG. 2D) whereas high-MW polymers result in the formation of polymer fibers (FIG. 2E). It is hypothesized herein that the higher MW of the fiber-forming polymers is associated with a high level of molecular entanglement of the polymer solution, whereas lower MW is associated with low entanglement levels leading

to rod formation. Images of examples of such rods and fibers are illustrated in FIGS. 4A and 4B, respectively, and referred to below.

Without wishing to be bound by any particular theory, the following discussion of the mechanism of rod or fiber formation by way of solvent attrition under shear in accordance with the present teachings is provided. A droplet immiscible with a sheared Newtonian fluid medium is deformed under the influence of two forces—shear stress, which would deform it, and interfacial tension, which would minimize the droplet surface area and confine it to a sphere. The balance of those two forces can be quantified by the dimensionless capillary number Ca:

$$Ca = \frac{\tau a}{\gamma}$$
, where  $\tau \approx \frac{\mu \omega_i r_i}{d}$ . (1)

Here,  $\tau$  is the shear stress, a is the droplet radius, and y is the 20 interfacial tension,  $\mu$  is the fluid viscosity, r, and  $\omega$ , are the radius and angular velocity of the inner cylinder, respectively, and  $d=r_o-r_i$  (FIG. 1). For low Ca, the surface tension dominates and the droplet remains close to spherical. For high Ca, the shear stress dominates and the droplet stretches into a long 25 cylinder. At a critical value, Ca <sub>cr</sub>, which is a function of the ratio  $p=\mu_1/\mu_2$  of the viscosities of the droplet and the media, the cylinder breaks up into smaller drops due to Rayleigh and other instabilities, such as tip-streaming. For viscosity ratios p>3, Ca cr diverges, so it is almost impossible to break up the droplet. For 0.1<p<3, Ca <sub>cr</sub> varies between 0.3 and 1. For p<0.1, Ca cr increases for break up due to drop fracture, but a second tip-streaming mechanism appears with a constant Ca <sub>cr</sub>=0.5 for all p<0.1. See Mabille et al., Europhys. Lett., 2003, 61, 708; Sugiura et al., J. Phys. Chem. B, 2002, 106, 35 9405; Rallison, Annu. Rev. Fluid Mech., 1984, 16, 45; Li, Phys. Fluids, 2000, 12, 269; and Grace, Chem. Eng. Commun., 1982, 14, 225. Alternatively, the tip-streaming may be conducive to the formation of initial fibers, which then get drawn out of the parent droplets by the shear forces. Whatever 40 the process of polymer fiber formation is, the polymer droplets (or other type of dispersed-phase components) deform and break up in the shear flow until they reach a critical size, which is likely determined by the capillary number Ca as well as the competition between the shear extension and diffusion. 45 At the critical size, the polymer solvent leaves the droplets, and the droplets thereby become solidified in the deformed state. Further explanation and description of the mechanism of fiber formation according to the present teachings is provided below in conjunction with experimental Examples.

Aspects of the fiber formation process taught herein—e.g., the use of an anti-solvent medium miscible with the polymer solvent, the use of a solution including a polymer having an appropriate molecular weight, the generation of moderate to high shear stresses, etc.—are readily amenable to scale-up for 55 industrial and commercial applications. Accordingly, no limitation is placed on the dimensions of the apparatus utilized to carry out the process. In the case of an apparatus based on a cylindrical drum inside a cylindrical enclosure with one or both of these components rotating, such as illustrated by 60 example in FIG. 1, the diameters and lengths of the cylinders may, for example, be on the order of meters. A large-scale apparatus may be capable of producing a large amount of fibers of significant length. Means may be provided to assist in removing fibers from the apparatus. For example, long fibers may become wrapped about a rotating inner cylinder. The inner cylinder may be provided with small, retractable

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drums or other structures (not shown) that cut or remove as-produced fibers upon activation by a user.

FIG. 3 is a flow diagram illustrating an example of a method 300 for forming polymer nanofibers. Optionally, at block 304, any desired or necessary pre-formation steps may be taken. Such pre-formation steps may include preparing the polymer solution, adding nanoparticles or other additives as desired. At block 306, the polymer solution is introduced into a dispersion medium. At block 308, shear is imparted to the dispersion medium to form polymer fibers from the polymer solution. Optionally, at block 310, any desired or necessary post-formation steps may be taken. Such post-formation steps may include removing the fibers from an apparatus in which the fibers were formed, washing and drying the fibers, etc. The flow diagram illustrated in FIG. 3 may also schematically represent an apparatus or system 300 configured for carrying out the process steps just described. Additional apparatus features used for fiber alignment, extension, extraction and other processing may be included as needed.

In an alternative implementation, a method for fabricating polymer strands or strings is provided. The polymer of the polymer strands may have a molecular weight of less than about 20,000 Da. Similar to the methods described above, the polymer strands may be formed by introducing a polymer solution into a dispersion medium and shearing the polymer solution. In this case, the resulting polymer strands having an aspect ratio of about 100 or less. Unlike previously fabricated polymer rods (U.S. Pat. No. 7,323,540, commonly assigned to the assignee of the present disclosure, the content of which is incorporated by reference herein in its entirety), the polymer strands are not necessarily straight or rigid. The strands may be utilized in a wide variety of applications and articles of manufacture for which relatively short, non-rigid polymer fibers are desirable.

# **EXAMPLES**

# Fabrication of Polymer Nanofibers

For the following experiments, high molecular weight (MW) polystyrene (PS) was obtained from Aldrich (430102,  $M_w\approx190,000\text{-}230,000$ ,  $M_w/M_n\approx1.6$ ). Low MW PS was obtained from Pressure Chemical (Pittsburgh, Pa.), with  $M_w=5,780$ ,  $M_w/M_n=1.05$ . Cellulose acetate from Aldrich was used (180955, average  $M_n\sim30,000$  by GPC). Poly (L-lactic acid) from MP Biomedicals (151931,  $M_w\sim700,000$ ), chloroform (CHCl<sub>3</sub>) (Acros 61003-0040), and denatured alcohol (Fisher A995-4), containing 90% ethanol and ~5% each of methanol and isopropanol, were obtained through Fisher Scientific. Nanoparticles of oleic acid capped iron oxide nanocrystals (10 nm) were obtained from Ocean NanoTech (Fayetteville, Ak.). These nanoparticles were easily suspended in CHCl<sub>3</sub>.

A lab scale Couette flow apparatus, similar to the apparatus 100 illustrated in FIG. 1, was constructed by combining a mixer with a straight cylindrical shaft and a centrifuge tube. The mixer was a Cole-Parmer Servodyne Model #50003 with digitally controllable speeds (150-6000 rpm). Polypropylene centrifuge tubes (17×100 mm, ID=14.6 mm, Evergreen Scientific), obtained through Fisher Scientific, acted as the stationary outer cylinder wall in the device. The radii for the shaft,  $\mathbf{r}_i$ , and the stationary tube,  $\mathbf{r}_o$ , were 5.00 mm and 7.32 mm respectively. Clamping a disposable tube to a bench stand and centering it around the bare rotating shaft resulted in an easy-to-clean setup where only the shaft had to be wiped clean after each experiment.

In these experiments, 0.2 ml of polymer solution was quickly injected in the 2.3 mm gap between the rotating shaft and the stationary tube, which contained about 6.6 ml of shearing fluid. The most common shearing medium was 75% glycerol:25% ethanol (v/v), with dynamic viscosity  $\mu$ =0.15 5 Pa s and density  $\rho$ =1140 kg m<sup>-3</sup>. Various rotor speeds were used to shear the solution, usually 2000 rpm ( $\omega_i$ =209 rad s<sup>-1</sup>,  $\omega_o$ =0, FIG. 1), for 2-5 min. Polymer solution droplets were introduced into the flow where they were broken up and deformed until the polymer solvent diffused out into the 10 antisolvent medium. The resulting fibers were subsequently removed from the shearing medium and the shaft, washed with the antisolvent (usually ethanol) and dried before imaging in either optical or scanning electron microscopy (SEM).

SEM images were obtained on a Hitachi S-3200N SEM 15 after applying 6-12 nm of Au/Pd sputter coat to minimize charging and improve resolution. Beam energies of 5 kV, with low beam current and short working distance were used to increase resolution. TEM images were obtained on a JEOL 2000FX HRTEM at Atomic Resolution Electron Microscopy 20 Center (AREMC).

Fiber diameter distributions were measured by analyzing SEM images containing 20-30 fibers each and with a minimum resolution of 800×800 pixels. Fiber diameters were measured in pixels, scaling by the image-embedded scale bar, 25 and building a distribution histogram. At least 50 measurements were made to characterize the fibers for each processing condition.

The main parameters for the current process were as described earlier in this disclosure: a) use of a viscous 30 medium that provides high shear stress  $\tau = \mu G$  for a given shear rate G, b) a polymer solvent which is miscible with the shearing medium, and c) the medium is/contains an antisolvent for the polymer. As illustrated in FIG. 2, nanofibers are produced in two steps. First, the dispersed-phase components of the 35 polymer solution deform and break up in the shear flow until they reach a critical size, determined by the capillary number as well as competition between the shear extension and diffusion. Second, at the critical size, the polymer solvent leaves the dispersed-phase components, solidifying them in the 40 deformed state. The lab-scale Couette flow device used in this experiment provided uniform shear stress throughout its entire volume and a simpler geometry for modeling the process. As described below, experiments with several common polymers resulted in the fabrication of fibers instead of rods, 45 unlike the SU-8 polymer microrods fabricated from SU-8 solutions sheared in glycerol/ethanol mixtures previously. See U.S. Pat. No. 7,323,540, referenced above; Alargova et al., Adv. Mater., 2004, 16, 1653; and Alargova et al., Langmuir, 2006, 22, 765.

Disregarding polymer-solvent interactions for a moment, it is hypothesized that the origin of this difference is due to the higher molecular weight of the fiber-forming polymers M<sub>n</sub>~30,000-700,000 vs. the low molecular weight of SU-8, M<sub>n</sub>~7000±1000. A high level of entanglement of the polymer solution may be necessary for producing the fibers (FIG. 2E), while low entanglement levels would lead to polymer rod formation (FIG. 2D). This finding may facilitate formulating the necessary conditions for solution nanospinning of fibers or rods from a wide variety of polymers.

Polystyrene (PS) solutions in chloroform were chosen to test the hypothesis, because PS could be obtained with vastly different molecular weights, while keeping the same polymer-solvent interactions in the system. Indeed, by performing the experiment with two batches of polystyrene of molecular 65 weight (MW)=5.8 k and 230 k respectively, under nearly identical conditions, short rods (FIG. 4A) were obtained for

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the low molecular weight polymer, and long fibers (FIG. 4B) were obtained for the high molecular weight polymer.

Several process variables that might affect the diameters of the resulting fibers were identified. High MW PS solutions were used in these tests and shed light on the mechanism of fiber formation. First, the effect of the initial polymer solution concentration (FIG. 5A) was studied. The fibers formed at concentrations of 10-20% w/w PS in chloroform had similar diameters, within the error of the measurements. Interestingly, lower initial concentrations (4% w/w PS) did not result in fiber formation. This is likely caused by a tip-streaming breakup mode for the low viscosity droplets (at p<sub>crit</sub>≤0.1). Tip streaming is also a probable reason why dilute SU-8 polymer solutions produced no rods for values of p close to or <0.1. See Alargova et al., Langmuir, 2006, 22, 765. At high concentrations (30% w/w PS) only irregular PS chunks were recovered, likely because the high viscosity of the droplets prevented their stretching before they could solidify.

It should be noted that for droplets containing solvent miscible with the medium, as in the present experiment, the hydrodynamic analysis in the experimental section only describes the behavior of droplets>5-10  $\mu$ m. During the flow timescale (G<sup>-1</sup>,  $\approx$ 2 ms for  $\omega$ =2000 rpm), which governs droplet deformation, the diffusion length in the 75% glycerol:25% ethanol medium is only a fraction of a micron, so the droplets and shearing medium can be approximated as immiscible phases. When the droplet is stretched into a thin cylinder with micron dimensions, however (FIG. 2C), the diffusion effects become significant. The solvent leaving the droplet increases the polymer concentration inside the cylinder, and in addition antisolvent from the medium forms a sheath of hardened, coagulated polymer at the cylinder surface.

Second, the effect of shear stress was characterized, since it determines the value of the capillary number Ca and the smallest sizes of deformable drops (Eqn. 1). At low rotation angular velocities ( $\leq$ 500 rpm), the polymer did not completely separate into fibers, coagulating into large, bulky strands and networks. At higher shear rates, the formation of neat fibers with a monotonic decrease both in the average diameter and diameter distribution was observed when increasing angular velocities from  $\omega_i$ =1000 to 6000 rpm (increasing  $\tau$  from  $\approx$ 34 to 204 Pa) (FIG. 5C). While a decrease in fiber diameter was observed up to the top speed of which the equipment utilized was capable (6000 rpm), further increases in shear rate would likely result in even lower average fiber diameters.

In the third experimental cycle, the amount of ethanol (antisolvent) in the shearing medium was changed (FIG. 5D). Though this change directly affects the polymer-antisolvent medium interactions, predictions from hydrodynamic dimensional analysis may be helpful for understanding the results. The maximum value of the medium viscosity  $\mu_2$  for which fibers are formed is limited by the breakup instability for all  $p=\mu_1/\mu_2 < p_{crit} \approx 0.1$  (need  $\mu_2 < 10\mu_1$ ). For 0.1 , the criticalcapillary number Ca<sub>cr</sub> is near its minimum Ca<sub>cr</sub>≈0.4 and almost constant. For this range of p a lower  $\mu_2$ , e.g., from more EtOH in the medium, also results in a lower shear stress  $\tau = \mu_2 G$ , and therefore a larger radius a of the stretched polymer solution cylinders that form the fibers (Eqn. 1). Therefore, one expects the average fiber diameter to be a decreasing function of viscosity, achieving a minimum value for value for  $\mu_2$  just below  $10\mu_1$ , beyond which no fibers would be formed.

Indeed, for ethanol concentrations [EtOH]≤20% v/v, no fibers were formed. [EtOH]=25% v/v produced the smallest average diameter fibers. For 25%<[EtOH]<63% v/v, the average fiber diameter increased rapidly with increasing [EtOH],

as did the polydispersity of the fibers. In addition to lowering the medium viscosity, high [EtOH] also increased the antisolvent propensity of the medium and its diffusion coefficient, which could also be a reason for the observed increase in fiber diameters. Faster antisolvent diffusion competing with hydrodynamic deformation, which stretches droplets into smaller and smaller diameter cylinders, would result in larger diameter fibers due to earlier fiber solidification.

The Couette flow utilized in the production of the fibers of this Example is known to become unstable above certain angular velocities, as originally discussed in detail by Taylor. See Taylor, *Phil. Trans. R. Soc. Lond. A-Math. Phys. Sci.*, 1923, 223, 289. The angular velocity at which the flow becomes unstable, is given by the Taylor number Ta:

$$Ta = \frac{r_i(r_o - r_i)^3(\omega_i^2 - \omega_o^2)}{v_2^2},$$
(2)

where  $v_2=\mu_2/\rho_2$  is the kinematic viscosity,  $\mu_2$  and  $\rho_2$  are the dynamic viscosity and the density of the shearing medium, and  $r_{i,o}$ ,  $\omega_{i,o}$  are as labeled in FIG. 1. The critical Taylor number for onset of turbulence under ideal conditions is Ta  $_c\approx$ 1700. See Chandrasekhar, *Proc. Royal Soc. London Ser.* 25 *A—Math. Phys. Sci.*, 1962, 265, 188; and Snyder, *Proc. Royal Soc. London Ser. A—Math. Phys. Sci.*, 1962, 265, 198.

The turbulence observed at high  $\omega_i$  contributes to non-uniformity in the fiber diameters due to instability of the open interface between the shearing medium and the air, though 30 minute misalignments of the rotor leading to non-uniform gap spacing might contribute as well.

Placing baffles, to eliminate the open air interface and its destabilizing end effect, has shown a monotonic decrease of fiber diameter with shear rate up to the maximum 6000 rpm 35 achievable in one particular experimental setup (FIG. 5A). In some implementations, one or more baffles may be positioned perpendicular to the cylinders 104, 108 shown in FIG. 1, with each baffle having a central opening just large enough for the inner cylinder 104 to pass through. As an example, 40 FIG. 1 illustrates an annular baffle 140. When such a device is filled with a liquid to a level just above the baffle 140, the air is not pulled in and the flow is more stable. One could also make use of additional strategies that have been reported for stabilizing flow. Most involve modulating the speed of the 45 rotor, introducing liquid flow in the axial direction, or periodic movement of the central cylinder in the axial direction. Another strategy follows from the inverse square dependence of Ta on medium viscosity  $\mu_2$  (Eqn. 2). A more viscous shearing fluid would stabilize the flow by lowering Ta.

The diameters of fibers of the present Example are at least an order of magnitude smaller than those of most wet-spun fibers, and determining the mechanism of their formation may enable the process to be optimized. A small number of tiny polymer fibrils (~200 nm, with occasional ones ~100 nm) 55 was observed under most conditions, including varying antisolvent concentration in the medium. This result points towards a phase-separation mechanism governing the final fiber formation. The exact instability mechanisms leading to the formation of very thin fibers are still not understood 60 completely. However, a judicious combination of parameters clearly leads to formation of nanoscale fibers as demonstrated for the continuous method further in this document.

FIG. **6** is a set of scanning electron microscopy (SEM) micrographs of PS fibers formed from 15% solution (w/w in 65 CHCl<sub>3</sub>) sheared into 75% glycerol:25% ethanol at 2000 rpm. FIGS. **6**A and **6**B show typical fibers produced in the present

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Example. The diameters ranged from ~200 nm to ~2  $\mu$ m and the average size was ~500 nm. FIG. 6C shows a rare broken fiber with a void space in its interior. Cross-sectional SEM and TEM imaging showed that the fiber interiors were solid polymer. Referring to FIG. 6D, approximately 5% of the fibers have an uneven surface, which upon closer examination was considered to be due to a series of closely-spaced necking deformations with constant diameter sections in between. FIGS. 6E and 6F are SEM images of cross-sections of the fibers of the present Example, obtained after fracturing fiber bundles in liquid nitrogen. Larger fibers with diameters  $\sim$ 1  $\mu$ m have a few small pores (FIG. 6E), but no such pores are observed in smaller fibers (FIG. 6F).

FIG. 7 contains SEM cross-sectional view micrographs of 15 part of a wet-spun fiber and several nanospun fibers. The morphology of the nanospun fibers provides information on the mechanism of their formation. The fibers show no voids inside, suggesting that they are formed by a vitrification process upon direct contact between the coagulant and polymer solution. Similar processes have been observed in the formation of a non-porous glassy skin layer on the surface of electrospun fibers, or the skin layer in wet-spun fibers. Glassy layers are formed on a fast timescale, compared to phaseseparation. The round profile of the fibers also indicates that the solidification process is faster than the buckling timescale, otherwise one would expect fibers with wrinkled surface topographies. The above observations are consistent with nanospun fibers which, due to their small diameter and fast solvent-antisolvent interdiffusion, are composed mostly of a glassy skin layer, preventing void formation inside. The Figures also highlight the difference in size between nanospun and wet-spun fibers—shown here on the same scale. Due to the large size of wet-spun fibers, only the outer "skin" layer formation is fast, compared to phase-separation. By contrast, the morphology inside wet-spun fibers is characterized by macrovoids, formed via phase separation during the slower interdiffusion of solvent and antisolvent through this skin layer, via either nucleation and growth or spinodal decomposition processes.

Another feature, observed on about 5% of the fibers formed, is the presence of multiple necking deformations (FIG. 6D). Some fibers were heavily decorated almost along their entire length, while the rest of the fibers were uniform and smooth. One hypothesis is that a fraction of the fibers experienced higher than usual shear stresses and their stiffer skin broke in places, revealing the longer-stretching inner core. Such multiple necking has been observed previously in electrospun nanofibers and attributed to a stretching deformation. It was noted in that case that larger fibers only fail with one or two necking deformations. The alternative reason given for the multiple necking of nanofibers is a perturbation wavelength on the order of 50x the fiber diameter, and that multiple wavelengths fit over the fiber length observed. In the present experiment, however, the distance between necking deformations is similar to the diameter of the fiber, which is inconsistent with that hypothesis. The smooth cylindrical surface and constant diameter of the sections between necks (FIG. 6D) also supports the skin-core explanation.

Small angle X-ray scattering (SAXS) experiments may be utilized to verify the presence of the skin-core morphology, accurately measure the fiber skin thickness, and possibly determine its crystallinity. Additional experiments may be carried out to decouple the separate roles which shear stress and phase separation play in this complex nanofiber formation process. In wet-spinning, interactions with the solvent can cause polymer crystallization. The  $\delta$ -crystal form of syndiotactic polystryrene (sPS), for example, not only contains

solvent molecules but its crystallization is often induced by the solvent molecules. On the other hand, in the absence of polymer-solvent interactions in polypropylene melts, shear has been shown to cause polymer orientation in a skin layer and also induce crystallization. The diameters of the fibers of the present Example are similar or smaller than the skin thickness of typical wet-spun fibers (FIG. 7), implying a similar potential role of phase-separation in both structures. X-ray structural comparisons may yield information on the role of shear stress

FIG. 8A is an SEM micrograph of PS fibers formed from 15% solution (w/w in CHCl<sub>3</sub>) sheared into 75% glycerol:25% ethanol at 2000 rpm, and FIG. 8B is a high resolution SEM of the fibers in FIG. 8A. The method presented here, however,  $_{15}$ can be used in many systems, as the formation of fibers is not limited to polystyrene alone. To demonstrate the versatility of the method, other fibers were formed from two widely used, industrially important materials: cellulose acetate (FIGS. 8C) and 8D), commonly used in filter manufacturing, and poly-20 lactic acid (PLA) (FIG. 8E), a renewable, biodegradable and biocompatible material used in tissue engineering and drug delivery. SEM images (FIGS. 8C and 8D) of the cellulose acetate fibers show that their diameters varied between 800 nm-2 µm with occasionally smaller and larger fibers. The 25 Fibrils method also has specific strengths in making fibers with embedded nanoparticles. The formation of polymer fibers containing solid nanoparticles by any process with nozzles is problematic as the particles often cause clogging due to aggregation. The nozzle-less shear nanospinning technique 30 disclosed herein avoids this problem. The fabrication of magnetic composite fibers (FIG. 8F) was demonstrated by dispersing 50 nm magnetite (Fe<sub>3</sub>O<sub>4</sub>) nanocubes in a solution of polystyrene in CHCl<sub>3</sub>, and shearing the mixed suspension/ solution in a glycerol/ethanol medium. Specifically, the fibers 35 were produced by shearing a PS solution (10% w/w in CHCl<sub>3</sub>, containing ~0.5% w/w Fe<sub>3</sub>O<sub>4</sub> nanocubes) into a 75% glycerol:25% ethanol medium at 2000 rpm for 3 min. Other experiments demonstrated the fabrication of magnetic composite fibers by dispersing 10 nm ferric oxide (Fe<sub>2</sub>O<sub>3</sub>) nano- 40 particles (not shown) in a PS solution under similar conditions, specifically by shearing a PS solution (10% w/w in CHCl<sub>3</sub>, containing ~0.5% w/w Fe<sub>2</sub>O<sub>3</sub> nanoparticles) into a 75% glycerol:25% ethanol medium at 2000 rpm for 3 min. Incorporation of various nanoparticles would make it pos- 45 sible to endow fibers made of a single polymer with a wide variety of functionalities, e.g., fluorescent detection fibers with embedded quantum dots, antibacterial filters and textiles with silver particles, and tissue engineering scaffolds with controlled drug-release particles. As other examples, catalyst 50 immobilization, for chemical transformations and waste treatment among others, is one possible use because nonwoven composites would have active areas similar to those of nanoparticle suspensions. Embedding of TiO<sub>2</sub> particles can confer self-cleaning properties to fibers in the presence of UV 55 light.

The fabrication capacity of this shear nanospinning method scales with the volume of the shearing device. The 6-ml benchtop setup employed in the above Example was able to produce nanofibers at a rate of ~0.1 g/min, and its 60 volume production could be straightforwardly scaled up several thousand times by, for example, using available centrifuge equipment. Production rates of over 1.0 g/min have been achieved by using a scaled benchtop apparatus that included a larger diameter rotor (similar to the component 108 of FIG. 65 1) and a cylindrical beaker (similar to the component 104 of FIG. 1).

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The method described herein can process a variety of polymers, and its scalability is one of its best advantages in nanofiber production. The method allows for the spinning of nanofibers from solution at room temperature which is highly desired in the processing of functional polymers, including conductive polymers for flexible electronics. Volume production of such fibers may provide concurrently economical electrical functionality and structural support, and would allow embedding in clothes and other textiles, including disposable garments. Mild processing conditions would benefit numerous other applications, including generation of biocomposite fibers containing active enzymes or even whole live cells.

To summarize the above-described Examples, a scalable method for nanofiber formation from solution based on shear flow has been presented. The fibers had diameters of 200 nm-2  $\mu$ m, similar to electrospun fibers, and can be created from a wide variety of polymers. It was shown that polymer chain entanglement in solution may be necessary for the production of the fibers, while the smallest diameter size is possibly limited by fundamental phase-separation processes. Scaling up the process would lead to economic routes to polymer nanofibers and polymer-particle composites.

Composite Inorganic/Polymer Nanofibers and Inorganic Fibrils

According to other implementations, composite inorganic/ polymer nanofibers are provided. The composite nanofiber includes a polymer nanofiber and a plurality of inorganic fibrils disposed in the polymer nanofiber. In the present context, "disposed in" generally means that the inorganic fibrils are confined or retained in the polymer medium. No limitation is placed on the specific mechanism of this confinement or retention. In some cases, chemical binding may be involved. Methods disclosed herein for fabricating composite inorganic/polymer nanofibers are similar to the above-described methods for fabricating polymer nanofibers, insofar as they entail utilizing shear stresses in a liquid-liquid dispersion system to form and stretch the nanofibers as generally illustrated in FIGS. 2 and 3. Moreover, a similar apparatus such as that illustrated in FIG. 1 may be utilized. The method differs in that it entails the addition of an inorganic precursor, the conversion of the inorganic precursor to an inorganic compound via reaction with an appropriate reagent and/or exposure to energy, and the formation of inorganic fibrils distinct from the nanofibers via phase separation of the inorganic fibrils from the nanofibers. In the context of the present disclosure, the term "inorganic fibrils" encompasses fibrils composed of the inorganic precursor and fibrils composed partially or entirely of the resulting inorganic compound. The exact composition of the inorganic fibrils depends on the experimental conditions that allow the conversion of the inorganic precursor into an inorganic compound and the relative timescales of phase separation and formation of the inorganic fibrils. The time of conversion may differ in different imple-

In this method, a mixture with a particular ratio of the polymer solution and the inorganic precursor is employed in the place of the polymer solution described above. The ratio of polymer solution to inorganic precursor will generally depend on the type of polymer and inorganic precursor employed. In some implementations, the ratio of polymer solution to inorganic precursor ranges from 1:10,000 to 10,000:1 by weight. In other implementations, the ratio may range from 1:200 to 200:1 by weight. In other implementations, the ratio may range from 200:1 to 2:1 by weight. The polymer solution/inorganic precursor mixture may optionally include one or more additives as described above. The mix-

ture is introduced into a dispersion medium by any means such as described above. Generally, as noted previously the dispersion medium should be viscous, include an antisolvent for the polymer employed, and be miscible with the solvent of the polymer solution. The mixture resides in the dispersion 5 medium as dispersed-phase components (described above). The mixture may already be in the form of dispersed-phase components when added to the dispersion medium, or may be introduced as a continuous stream and thereafter break up into dispersed-phase components. As the polymer solution is 10 introduced, it is sheared by any means such as described above. The shearing action deforms the dispersed-phase components of the mixture into liquid filament streams due to capillary instabilities. Upon further shear-induced filament elongation, the polymer solvent (being miscible with the dispersion medium as noted previously), diffuses out from the dispersed-phase components/filaments and into the dispersion medium. This causes an increase in the polymer concentration and phase separation between the polymer and the inorganic precursor. This process results in the formation of 20 insoluble composite inorganic/polymer nanofibers that include inorganic fibrils dispersed in the polymer fraction of the nanofibers. The composite inorganic/polymer nanofibers may then be washed (utilizing, for example, a low-viscosity antisolvent), collected, and dried as desired.

In some implementations, the composite inorganic/polymer nanofibers may then be subjected to any suitable calcination or organics removal process to release (or liberate) the inorganic fibrils from the nanofibers. In this manner, the inorganic fibrils may be provided as an end product. Calcination 30 may be performed in any device (furnace, kiln, fluidized bed reactor, etc.) configured for implementing calcination. The temperature at which calcination is carried out and the total time of calcination will depend on the type of polymer and inorganic compound utilized, and generally should be suffi- 35 cient to vaporize the polymer fraction without thermally decomposing the inorganic fibrils. In some examples, the calcination temperature is about 200° C. or greater. In other examples, the calcination temperature is about 500° C. or greater. In other examples, the calcination temperature ranges 40 from about 200 to about 1200° C. In some implementations, the calcination temperature may be varied according to a predetermined temperature program. In other implementations, the organic components may be removed by chemical treatment instead of thermal oxidation, dissolution, enzy- 45 matic degradation, etc.

As described above, the shear stress imparted to the dispersed-phase components may be controlled and kept uniform as desired. One or more operating parameters may be adjusted or tuned so as to control the shear stress, such as the viscosity of the dispersion medium, shear rate (e.g., revolution speed in the case of the device illustrated in FIG. 1), the gap between the inside cylinder and outside cylinder in the case of the device illustrated in FIG. 1, etc. Shear stress may be controlled, for example, to minimize the polydispersity of 55 the final diameter of the composite inorganic/polymer nanofibers.

The polymer(s) utilized in the presently described method may have any naturally-occurring or synthetic composition described earlier in this disclosure. In typical implementations, the polymer has a molecular weight greater than 20,000 to ensure formation of high aspect-ratio nanofibers. Moreover, in the presently described method the polymer solvent(s), polymer solution concentration, antisolvents, antisolvent concentration in the dispersion medium, viscosity of dispersion medium, magnitude of applied shear stress, and insolubility of the polymer in the dispersion medium may all

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be specified as described earlier in this disclosure. Any of the particles (microparticles or nanoparticles) and/or other additives described earlier in this disclosure may be utilized as well

The inorganic precursor that forms a mixture with the polymer solution will depend on the desired composition of the inorganic fibrils. The present method enables the formation of fibrils composed of a wide variety of inorganic compounds. Accordingly, a large number of inorganic precursors (i.e., precursors for the respective inorganic compounds) may be utilized so long as they are compatible with forming fibrilinclusive nanofibers in accordance with the methods disclosed herein. Examples of suitable inorganic compounds include, but are not limited to, ceramics such as titania, alumina and zirconia, and non-crystalline ceramic-like compounds such as silica, glasses, bioceramics, and bioactive glasses. Accordingly, examples of inorganic precursors include, but are not limited to, titania precursors, alumina precursors, zirconia precursors, silica precursors, bioceramic precursors, and bioactive glass precursors. Examples of titania precursors include titanium alkoxides (e.g., Ti(IV) isopropoxide) and titanium tetrachloride (TiCl<sub>4</sub>). Examples of alumina precursors include aluminum alkoxides (e.g., aluminum isopropoxide) and aluminum salt mixtures with organics resulting in sol-gel formation. Examples of zirconia precursors include zirconium alkoxides (e.g., zirconium ethoxide). Examples of silica precursors include tetraethyl orthosilicate (TEOS) and tetramethyl orthosilicate. In some implementations, the nanofibers may include fibrils having two or more different compositions, in which case two or more different inorganic precursors may be utilized. Other hydrolysable, decomposable or reactive metal compounds, such as methoxides, ethoxides and sec-butoxide for example, may also serve as inorganic precursors.

The mechanism by which the inorganic compound is formed will depend on the inorganic precursor and chemical or physical conversion process utilized. In some implementations, the inorganic compound is formed by reacting the inorganic precursor with an appropriate reagent. For example, the orthosilicates and many metal alkoxides hydrolyze with water to form inorganic oxides. The reagent may be a liquid or a gas (or vapor). In some implementations, a liquid reagent is added to the dispersion medium before introducing the mixture, while introducing the mixture, after introducing the mixture, or during two or more of the foregoing stages. In these implementations, the dispersion medium may include both the antisolvent and the reagent. Alternatively, depending on the inorganic precursor, the antisolvent may be effective as a reagent and thus serves a dual role in the dispersion medium, acting both as an antisolvent for the polymer solvent and as a reagent for interacting with the inorganic precursor to form the inorganic compound that comprises the fibrils of the final composite nanofibers. Water is an example of an antisolvent that may serve this dual role, again depending on the inorganic precursor.

In other implementations, the composite nanofibers (containing fibrils composed of the inorganic precursor) may first be separated (removed) from the dispersion medium and then exposed to the reagent. In the case of a liquid reagent, exposure may entail introducing the composite nanofibers into a solution containing the reagent. In the case of a gaseous reagent, exposure may entail introducing the composite nanofibers into an atmosphere or environment containing the reagent. The atmosphere or environment may be controlled or enclosed, such as a reaction chamber or vessel. In cases where water is an effective reagent, the reactivity of the inorganic precursor with water may be high enough that simply expos-

ing the composite nanofibers to ambient air of sufficient humidity is sufficient to convert the inorganic precursor to the inorganic compound.

In some implementations, the reaction between the inorganic precursor and the reagent may be initiated, promoted, or 5 otherwise assisted by an appropriate catalyst, depending on the type of inorganic precursor utilized.

In other implementations, the inorganic compound is formed by irradiating the inorganic precursor with thermal energy (e.g., heating) or electromagnetic energy (e.g., UV 10 light, laser light, etc.). In implementations that include the calcination step, the heat provided by the calcining device may serve as an effective energy input for converting the inorganic precursor to the inorganic compound. In one example in which the inorganic precursor is Ti(IV) isopropoxide, calcining the inorganic fibrils results in the formation of titania fibrils in which the titania is predominantly the anatase phase of titania. As used herein, the term "predominantly" means that ~90-95% or greater of the fibrils are composed of the anatase phase.

In other implementations, the inorganic compound is formed from the inorganic precursor by a combination of reaction with a reagent and exposure to thermal or electromagnetic energy.

In implementations in which the composite inorganic/ 25 polymer nanofibers, and not the pure inorganic fibrils, are the end product, the composite inorganic/polymer nanofibers may find a wide variety of applications. Composite nanofibers may obtain part or much of their desirable mechanical properties from the polymer, including toughness and flexibility. Polymers may also provide special electrical (e.g., conductive, semiconductive) properties, optical properties (absorption, fluorescence, light emission), and surface chemical functionalities (e.g., for conjugating growth factors in the case of tissue scaffolds). Some polymers, such as polyacrylonitrile, poly(N-isopropylacrylamide) (PNIPAm), and polyvinylidene difluoride, may allow fibers to respond mechanically to a number of different stimuli.

In implementations in which the pure inorganic fibrils are the end product, the present method entails the use of a 40 sacrificial polymer material that serves as a structural mold for defining the polymer/inorganic composite fibers, but is later removed by calcination. Therefore, in addition to its ability to form fibers, the polymer should be as inexpensive as possible. Polystyrene, for instance, is a high-volume use 45 polymer, comprising a large percentage of disposable foam products (e.g., Styrofoam® cups) though unsuitable for use in melt-spinning for production of fibers. The present method offers the possibility of making much higher value products (inorganic fibers) using this inexpensive commodity polymer. 50 In addition to the use of the virgin raw material, during recycling polystyrene is commonly separated from other polymers using solvents, recovered, and re-used into low value products again. The present method offers the possibility of forming high value fiber products using recycled polystyrene 55 and other recyclable polymers as well.

The ability to produce inorganic fibrils provided by the present method dramatically extends the range of materials that may be provided in the form of nanofibers (i.e., fibrils). For example, bioceramics are increasingly being explored for tissue engineering scaffolds, though mostly in research environments because of their extremely high cost, which is due to a low production rate by the previously known methods. Bioglass supports, which can deliver unique combinations of minerals to growing tissues, have a number of biomedical applications. The nanospinning techniques disclosed herein may potentially increase the production rate of such materials

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by orders of magnitude and dramatically decrease production cost, and therefore have significant commercial potential. Even common minerals such as calcite and calcium phosphate are highly desired in a nanofiber form. Other applications include the fabrication of semiconductor fibers for photovoltaics and photocatalysts.

#### **EXAMPLE**

# Fabrication of Composite Inorganic/Polymer Nanofibers

In this Example, a lab scale Couette flow apparatus, similar to the apparatus 100 illustrated in FIG. 1, was utilized to fabricate composite inorganic/polymer nanofibers. The annular volume (between the inner cylinder and outer cylinder) was 14 mL, and the gap between the inner cylinder and outer cylinder was 2.3 mm. The inner cylinder was rotated at 2,000 RPM. The polymer utilized was polystyrene (PS)  $(M_w=192,$ 20 000 Da) obtained from Sigma (catalog #430102). The inorganic precursor utilized was Ti(IV) isopropoxide (TIIP), obtained from Sigma (97%, catalog #205273). A 13.5% PS (w/w), 7.6% TIPP (w/w) solution in chloroform was prepared under inert (N<sub>2</sub>) atmosphere. A dispersion medium composed of 75% glycerol/25% EtOH (v/v) (viscosity=0.15 Pa·s (Pascal-second)) was added to the apparatus to a volume of 6.5-6.6 mL. In this example the glycerol controls the viscosity of the dispersion medium, while the ethanol is miscible with the polymer solvent and provides a way for the solvent to leave the forming fibers and form stable fibers (see FIG. 2). Dry 100% ethanol was utilized to prevent a hydrolysis reaction with TIPP before the fibers were formed. A volume of 0.1-0.2 mL of the polymer solution/inorganic precursor mixture (PS/ TIIP/CHCl<sub>3</sub> solution) was injected into the dispersion medium while the dispersion medium was being sheared at the above-noted rotation rate. The resulting shear stress applied to the dispersed PS/TIIP mixture was about 65 Pa. The nanofibers formed were washed with dry 100% ethanol and left to dry in air at room temperature. It is believed that because the glycerol/ethanol media contained a small amount of water, conversion to the inorganic compound was initiated by hydrolysis in the solution, and humidity and oxygen from the air contributed to the final conversion. In other cases (depending for example on the composition of the polymer and inorganic precursor utilized), conversion may not be complete or even initiated until the nanofibers are subsequently exposed to a specific reagent.

FIG. 9A is an SEM micrograph of the overall composite fiber morphology. FIG. 9B is a TEM micrograph of a single fiber from FIG. 9A, revealing the phase separation of the PS and titania phases inside it, as indicated by the stripes of varying electron density.

The nanofibers fabricated in this Example were subsequently placed in an oven set to 515° C. and calcined in air for eighteen hours. As shown in the SEM micrograph of FIG. 9C, the PS was removed completely and only titania nanofibers 50-200 nm in diameter remained. FIG. 9D is an X-ray diffraction (XRD) of the resulting TiO<sub>2</sub> fibrils. All of the peaks shown in FIG. 9D are referenced to specific anatase diffraction planes, demonstrating that the TiO<sub>2</sub> fibrils are composed of the more catalytically-active anatase phase of titania.

As evident from the foregoing descriptions, methods disclosed herein enable the formation of composite fibers from precursors in essentially a single step, without separate synthesis for any components. Methods disclosed herein enable the formation of composites with bi-continuous morphology, where each type of component may form a continuous struc-

ture over the length of the fiber. Such structures could be particularly useful for next generation solar cells and tissue engineering scaffolds. Additionally, the sol-gel method allows for the creation of pure inorganic semiconductor nanofibers by removing the organic polymer component from 5 the composites by calcinations at high temperature. This capability is promising for the creation of inexpensive, yet highly efficient, thin layer photovoltaics.

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Continuous Processes

According to additional implementations, the above-de- 10 scribed nanospinning technology is extended to continuous processes. Like the batch processes, the continuous processes are based on antisolvent attrition under shear. Unlike the batch processes, the continuous processes are based on flow geometries provided by conduits (e.g., pipes). Generally, a 15 continuous flow of a viscous dispersion medium is established in a conduit and a flow of a fiber precursor solution is introduced into the flow of the dispersion medium. In some implementations, liquid flow through the conduit is maintained in the laminar regime, whereas in other implementa- 20 tions the flow may not be laminar. As in the previously described implementations, the fiber precursor solution may be a polymer solution, with or without an inorganic precursor, and with or without various additives. As also previously described, the dispersion medium may include an antisolvent 25 for the polymer that is miscible with the solvent component of the polymer solution, and in some implementations may include additives. Examples of suitable polymers, polymer solvents, and antisolvents and other components of the dispersion medium are described earlier in this disclosure. As the fiber precursor solution is added it is dispersed as dispersedphase components throughout the flowing dispersion medium. The resulting liquid-liquid dispersion system continues to flow through the conduit, during which time shear forces are applied by the flowing viscous dispersion medium 35 to the dispersed-phase components. The mechanisms of fiber formation may generally entail the transformation of liquid strands, streaks and/or droplets of the fiber precursor solution into proto-fibers and eventually into nanofibers of significant length. Consequently, the output of the conduit is a continuous stream of material that includes the as-formed insoluble nanofibers carried in the dispersion medium. In some implementations, the nanofibers may be formed at a rate of a few g/min (e.g., 2-5 g/min) or much higher, depending on how the apparatus is scaled up. The continuous output of nanofibers 45 may facilitate any desired post-fabrication process.

FIG. 10 is a schematic view of an example of continuous shear flow apparatus (or device or system) 1000 that may be utilized for fabricating nanofibers in accordance with certain implementations of the continuous process. The apparatus 50 1000 generally includes a shear flow conduit (or first conduit, or main conduit) 1004 and a fiber precursor solution inlet 1008. The shear flow conduit 1004 includes an inlet 1012 into which the viscous dispersion medium flows from a suitable source (not shown) as indicated by an arrow 1014, and an 55 outlet 1016 from which nanofibers carried in the dispersion medium are discharged as indicated by an arrow 1018. The outlet 1016 may be placed in communication with any suitable post-processing components or destination. The solution inlet 1008 may be any structure suitable for introducing a 60 stream 1022 of fiber precursor solution into the shear flow conduit 1004 and thus into the flowing dispersion medium. In some implementations, the solution inlet 1008 may be or include an opening through the wall of the shear flow conduit 1004. In some implementations, as illustrated in FIG. 10, the 65 solution inlet 1008 may be or include a second conduit (or side conduit) 1026. The second conduit 1026 may be adjoined

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to the shear flow conduit 1004 in any suitable manner that results in the second conduit 1026 fluidly communicating with the shear flow conduit 1004. The second conduit 1026 has an inlet 1028 into which a fiber precursor solution as described above flows from a suitable source (not shown), and an outlet (or tip) 1032 from which the fiber precursor solution is discharged into the interior of the shear flow conduit 1004 and hence into the flowing dispersion medium. The second conduit 1026 may represent a conduit that is part of a pump or other means for flowing the fiber precursor solution into the shear flow conduit 1004. For example, the second conduit 1026 may represent a needle mounted to a syringe pump, or a nozzle, cannula or capillary associated with the output side of some other type of small pump.

The outlet 1032 of the second conduit may be flush with the opening in the wall of the shear flow conduit 1004, as illustrated in the example of FIG. 10. Alternatively, the second conduit 1026 may extend through the opening such that the outlet 1032 is positioned at some distance in the interior of the shear flow conduit 1004. In the illustrated example the second conduit 1026 is oriented orthogonal to the shear flow conduit 1004, although in other implementations may generally be oriented at any angle relative to the shear flow conduit 1004. The second conduit 1026 may be representative of one or more conduits. That is, two or more second conduits 1026 may be included to provide two or more respective injection points, and hence two or more introductory streams 1022 of fiber precursor solution, into the shear flow conduit 1004. As an example, two or more second conduits 1026 may be circumferentially spaced from each other relative to the central axis of the shear flow conduit 1004. Alternatively or additionally, two, three or more second conduits 1026 may be axially spaced from each other along the length of the shear flow conduit 1004 as shown in FIG. 11. Multiple injection points may allow for increasing the throughput of the process and the uniformity of the fiber diameter distribution.

In typical implementations, the cross-sectional flow area of the shear flow conduit 1004 (i.e., the interior cross-section of the shear flow conduit 1004 in the plane orthogonal to its central axis) is elliptical. In the present context, the terms "elliptical" and "ellipse" encompass the terms "circular" and "circle" with the understanding that a circle is an ellipse having an eccentricity of zero. In the illustrated example, the cross-sectional flow area is circular. Accordingly, in this case the shear flow conduit 1004 is configured as a pipe of circular cross-section. In other implementations, the cross-sectional flow area of the shear flow conduit 1004 may be polygonal (e.g., rectilinear, trapezoidal, etc.) or annular. In still other implementations, the cross-sectional flow area of the shear flow conduit 1004 may be shaped as a slot or slit, i.e., a shape having parallel sides elongated in one dimension adjoined by opposing ends (with either rounded or angled corners) where the length between the opposing ends is significantly greater than the width between the parallel sides. In typical implementations the shear flow conduit 1004 is straight along the length from its inlet 1012 to its outlet 1016, while in other implementations it may be curved. In some implementations, the length of the shear flow conduit 1004 may range from less than 1 inch to 5 inches or greater, and the characteristic dimension of the flow area of the shear flow conduit 1004 (e.g., the inside diameter if circular, or the major axis if elliptical with an eccentricity greater than zero, or the length of the predominant side if polygonal or slot-shaped) may range from 0.1 to 1 inch or greater. In some implementations, the ratio of the length of the shear flow conduit 1004 to the characteristic dimension of its flow area may range from 10 to 600 or greater. The cross-sectional flow area of the second

conduit 1026 may likewise be elliptical, polygonal, annular, or have some other shape such as a slot, and may be straight or curved. The dimensions of the second conduit 1026 are typically much less than those of the shear flow conduit 1004.

In operation, a steady or pulsed flow of the dispersion medium is established through the shear flow conduit 1004. For the implementation specifically illustrated in FIG. 10 in which the cross-section of the shear flow conduit 1004 is circular, the steady flow through the shear flow conduit 1004 may be characterized as being Poiseuille flow. The flow through the shear flow conduit 1004 may be characterized by the dimensionless Reynolds number, which may be defined as follows:

$$Re = \frac{\rho v D_H}{\mu} = \frac{v D_H}{v} = \frac{Q D_H}{v A},\tag{3}$$

where  $D_H$  is the hydraulic diameter (m) of the shear flow 20 conduit 1004 (the inside diameter in the case of a circular conduit), Q is the volumetric flow rate (m<sup>3</sup>/s), A is the crosssectional area (m<sup>2</sup>) of the shear flow conduit 1004, v is the mean velocity of the liquid (m/s), μ is the dynamic viscosity of the liquid (Paxs, or kg/(mxs)),  $\rho$  is the density of the liquid 25 (kg/m<sup>3</sup>), and  $\nu = \mu/\rho$  is the kinematic viscosity of the liquid (m<sup>2</sup>/s). Generally, the flow of a liquid through a conduit of circular cross-section is considered laminar if its Reynolds number is less than about 2040. In various implementations exemplified herein, the Reynolds number characterizing the 30 flow through the shear flow conduit 1004 may be within the laminar flow regime. Laminar flow is depicted by example in FIG. 10, which schematically illustrates the radial positiondependent profiles of the velocity v and applied shear stress  $\tau$ of the dispersion medium. Velocity is at a minimum at the 35 inside wall of the shear flow conduit 1004 and at a maximum at the central axis, while shear stress is at a maximum at the inside wall and at a minimum at the central axis. In other implementations, the flow through the shear flow conduit 1004 may be generally laminar while exhibiting localized 40 turbulence at one or more locations with the shear flow conduit 1004. In other implementations, the flow may be within the transitional regime between pure laminar flow and pure turbulent flow. In other implementations, the flow may be appreciably turbulent.

In some implementations, the viscosity of the dispersion medium may fall within the ranges specified earlier in this disclosure. The shear stress applied by the dispersion medium may also fall within the ranges specified earlier in this disclosure, although the pump utilized to supply the dispersion 50 medium may be configured to achieve higher shear stresses (e.g., greater than 200 Pa) than a typical batch apparatus. For a given set of fixed dimensions of the shear flow conduit 1004 and viscosity of the selected dispersion medium, other flow parameters may be set or adjusted as needed for a particular 55 production run. As one non-limiting example, the volumetric flow rate of the dispersion medium through the shear flow conduit 1004 may range from a few mL/sec to tens of L/min or greater. In another example, the flow rate may range from 30 mL/sec or greater. In another example, the flow rate may 60 range from 35-75 L/min. In one non-limiting example, the pressure of the dispersion medium at the inlet 1012 of the shear flow conduit 1004 may range from 0 to 125 PSIG or higher.

Once the flow of the dispersion medium is established, the 65 fiber precursor solution is injected under pressure as a continuous stream into the flowing dispersion medium via the

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second conduit 1026 or other type of solution inlet 1008. As one non-limiting example, the volumetric flow rate of the fiber precursor solution as it is introduced into the shear flow conduit 1004 may range from a few mL/min to several L/min or greater. In another example, the flow rate may range from 5 mL/min or higher. In another example, the flow rate may range from 1-5 L/min or higher. In one non-limiting example, the pressure of the fiber precursor solution at the solution inlet **1008** may range from 0 to 125 PSIG or higher. The events associated with fiber formation then proceed as described above. FIG. 10 schematically depicts a dispersed-phase component 1042 of the fiber precursor solution near the outlet 1032 of the second conduit 1026. The fiber precursor solution may be injected into the dispersion medium already in the 15 form of a plurality of dispersed-phase components **1042**, or as a continuous phase that breaks up into dispersed-phase components 1042 upon mixing with the dispersion medium. FIG. 10 also schematically depicts a dispersed-phase component deforming under shear at 1044, and breaking up into smaller dispersed-phase components 1046, which elongate and stiffen into insoluble nanofibers 1048. The flow of fiber precursor solution into the dispersion medium may be maintained for any desired length of time. For example, the flow of fiber precursor solution may continue until a desired amount of nanofibers are fabricated during a given production run. The fiber precursor solution may be flowed into the dispersion medium on a continuous basis, or in intervals (e.g., pulses of a desired duration). The flow rate of the dispersion medium and/or the flow rate (injection rate) of the fiber precursor solution may be constant (or substantially constant), or may be varied according to a desired profile (e.g., a ramped, sinusoidal, saw-tooth, square-wave or stepped flow rate). In some implementations, a variable speed injection of the fiber precursor solution may be performed to intentionally produce a wide variation in fiber diameters, which may be desirable in certain applications. For example, a variation in fiber diameter may improve the mechanical strength of a stand-alone nonwoven article that lacks a stronger backing substrate. In some implementations higher flow rates (or shear rates) of the dispersion medium, or lower injection rates of the fiber precursor solution, result in fibers of smaller diameters. In yet other implementations, the precursor solution may be injected in the form of pre-made droplet dispersion into an appropriate intermediate medium that is miscible with the shear medium.

As described earlier in this disclosure, the final diameter of the nanofibers may be controlled, and the polydispersity of the nanofibers may be reduced if desired, by controlling (or adjusting) the applied shear stress. In the continuous process, shear stress may be controlled in a number of ways, such as by modifying the flow rate and/or viscosity of the dispersion medium. The viscosity of the dispersion medium may be modified in real time by, for example, changing its temperature or switching to a dispersion medium having a different composition. Shear stress may also be controlled by replacing the shear flow conduit 1004 for another conduit having a different geometry.

The continuous process may be varied or modified in many of the same ways described above in conjunction with batch processes. For example, the continuous process may be employed to produce composite fibers by incorporation of selected particles in the fiber precursor solution. As another example, the continuous process may be employed to produce composite fibers by incorporation of a selected inorganic precursor material in the fiber precursor solution. As in the case of the batch processes, upon shear-induced filament elongation of the dispersed-phase components (consisting of

the mixture of polymer solution and inorganic precursor), as the polymer solvent diffuses out from the as-forming fibers phase separation occurs between the polymer and the inorganic precursor, leading to the formation of insoluble composite fibers. Also as in the batch case, if desired, pure inorganic fibrils may be released from the composite fibers by performing an appropriate polymer removal technique as described above (e.g., calcination, chemical treatment, thermal oxidation, dissolution, enzymatic degradation, etc.). Examples of various additives and inorganic precursors are 10 described earlier in this disclosure.

As the nanofibers are fabricated they may be transported from the outlet 1016 of the shear flow conduit 1004 to any suitable destination and subjected to any suitable post-fabrication processing steps.

The non-uniform shear stress profile associated with Poiseuille flow may make the process somewhat sensitive to the location of injection of the polymer solution in the flowing dispersion medium. As noted above, the second conduit 1026 associated with the solution inlet 1008 may extend into the 20 shear flow conduit 1004 such that the outlet 1032 of the second conduit 1026 is positioned at a desired radial distance from the central axis of the shear flow conduit 1004. The position of the outlet 1032 of the second conduit 1026 may be selected so as to optimize fiber production in view of a given 25 set of other operating parameters (e.g., compositions of the fiber precursor solution and dispersion medium, shear flow rate, injection rate, viscosity, shear stress to be applied, etc.). FIG. 12 is a schematic view of another example of the continuous shear flow apparatus 1000 in which the second con- 30 duit 1026 is movable relative to the shear flow conduit 1004, as indicated. That is, the position of the outlet 1032 of the second conduit 1026 relative to the central axis of the shear flow conduit 1004 is adjustable. Any means or device suitable for moving the second conduit 1026 for this purpose may be 35 provided, such as a linear actuator communicating with the second conduit 1026. A feed-through structure or other suitable interface between the second conduit 1026 and the opening through the wall of the shear flow conduit 1004 may be provided to maintain a fluid seal during movement of the 40 second conduit 1026.

In FIGS. 10 and 12, the outlet 1032 of the second conduit 1026 is oriented such that the fiber precursor solution is injected in a direction orthogonal to the direction of the flow of dispersion medium, i.e., in a cross-flow direction. FIGS. 13 45 and 14 are schematic views of other examples of the continuous shear flow apparatus 1000, in which the second conduit 1026 has a bent geometry to provide alternative techniques for injecting the fiber precursor solution. In FIG. 13, the outlet 1032 of the second conduit 1026 is oriented such that the fiber 50 precursor solution is injected in the same direction as the flow of dispersion medium, i.e., in a co-flow direction. In FIG. 14, the outlet 1032 of the second conduit 1026 is oriented such that the fiber precursor solution is injected in the direction opposing the flow of dispersion medium, i.e., in a counterflow 55 direction, such that dispersed-phase components of the fiber precursor solution are sheared away from the injection point.

In some implementations, the geometry of the shear flow conduit **1004** may be altered at one or more points along its length (typically downstream from the injection point(s)) to 60 improve one or more process parameters. For example, the initial geometry of the shear flow conduit **1004** may be transitioned to a more constricted geometry in which the cross-sectional flow area of the shear flow conduit **1004** is reduced in one or both dimensions. Depending on how the modification in geometry is implemented, it may result in higher and/or more uniform shear stress being applied to the fiber

precursor solution, and in turn may result in nanofibers of smaller and/or more uniform diameter. FIGS. 15-17 are schematic views of other examples of the continuous shear flow apparatus 1000, in which the geometry of the shear flow conduit 1004 is modified along the length of the shear flow conduit 1004.

In FIG. 15, the shear flow conduit 1004 is configured such that its cross-sectional flow area is gradually reduced along the length, by tapering (reducing) the cross-section in the direction of flow. In this implementation the outlet 1016 is smaller than the inlet 1012. Also, the maximum cross-sectional flow area of the shear flow conduit 1004 is located at the inlet 1012, and the minimum cross-sectional flow area is located at the outlet 1016. As an example, the shear flow conduit 1004 may have a conical (or frustoconical) geometry.

In FIG. 16, the shear flow conduit 1004 is configured such that its cross-sectional flow area is reduced one or more times in a step-wise fashion, by providing one or more transitional regions or sections at which the cross-sectional flow area tapers down. As in the implementation illustrated in FIG. 15, the outlet 1016 is smaller than the inlet 1012, the maximum cross-sectional flow area of the shear flow conduit is located at the inlet 1012, and the minimum cross-sectional flow area is located at the outlet 1016. In the specific example illustrated in FIG. 16, the shear flow conduit 1004 includes a first section 1504 having a relatively large cross-sectional flow area, followed by a transitional section 1506 through which the cross-sectional flow area is reduced over some length, followed by a second section 1508 having a relatively small cross-sectional flow area (i.e., smaller than that of the first section 1504). The cross-sectional flow area may be constant over the respective lengths of the first section 1504 and the second section 1506, or may be tapered to a lesser degree than the transitional section 1506. Moreover, the shear flow conduit 1004 may include more than one transitional section 1506 such that the cross-sectional flow area is stepped down more than one time. In other implementations, the transitional sections 1506 may be more abrupt such as in the nature of shoulders. In implementations for which laminar flow is desired, the tapered (e.g., conical) configuration of the transitional section 1506 illustrated in FIG. 16 may facilitate maintaining smooth, laminar flow.

In FIG. 17, the shear flow conduit 1004 is configured such that one of the dimensions of its cross-sectional flow area is significantly or predominantly changed relative to the other dimension. In the example specifically illustrated, the shear flow conduit 1004 includes a first section 1604 having an elliptical (circular in the illustrated example) cross-sectional flow area, followed by a transitional section 1606, followed by a second section 1608 having a slot-shaped cross-sectional flow area. Defining the cross-sectional flow area by x- and y-axes, the transition to the slot-shaped second section 1608 is characterized by a significant reduction in the x-dimension. As illustrated by example in FIG. 17, the transition to the slot-shaped second section 1608 may also be characterized by an increase in the y-dimension, although typically the change in the y-dimension will be of much less magnitude than the change in the x-dimension. In either case, the outlet 1016 may be smaller than the inlet 1012, the maximum cross-sectional flow area of the shear flow conduit 1004 may be located at the inlet 1012, and the minimum cross-sectional flow area may be located at the outlet 1016. The cross-sectional flow area may be constant over the respective lengths of the first section 1604 and the second section 1608, or may be tapered to some degree.

Alternative implementations may be provided for increasing shear stress while the fibers are forming. As one example, the fiber precursor solution may be flowed through a gap between concentric cones. At least one cone may be rotated relative to the other cone in a manner analogous to a colloidal

mill. As another example, the fiber precursor solution may be flowed through a homogenizing device that includes a ball spring or other type of high-pressure or high-shear valve.

It will be understood that other implementations of the continuous shear flow apparatus 1000 may be provided which combine one or more of the respective features described above, including those described in conjunction with FIGS. 10-17

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solution being introduced, and/or higher concentration of fiber precursor solution in the flowing dispersion medium. In the case of producing composite polymer/inorganic fibers where the fiber precursor solution is a mixture of polymer solution and an inorganic precursor, the ratio of polymer solution to inorganic precursor may also be a factor in the production rate.

TABLE 1

			N		nofiber Diameter Measurement Data  Diameter distribution				
Polymer	Avg. Dia. (nm)	Std. dev. (nm)	10 <sup>th</sup> percentile (nm)	25 <sup>th</sup> percentile (nm)	Median fiber diameter (nm)	75 <sup>th</sup> percentile (nm)	90 <sup>th</sup> percentile (nm)	% fibers < 1 μm	# of fibers sampled
				В	atch Method				
PS	441	275	229	299 Cont	392 tinuous Method	478	608	96	152
PS PMMA CA	481 443 438	293 298 287	199 164 173	275 226 245	407 382 346	612 587 550	865 799 908	95 97 90	286 111 39

As one non-limiting example of the continuous process, a high-pressure continuous shear flow device was configured similar to that illustrated in FIG. 10. The shear flow conduit was a stainless steel tube with a straight length and circular 30 cross-section, having a length of four feet and an inside diameter of 4 mm. A triplex positive displacement pump (CAT Pumps, Minneapolis, Minn., Model #2SF20ES) was placed in communication with the inlet of the shear flow conduit to supply the viscous dispersion medium. An inlet was formed 35 through the wall of the shear flow conduit. A syringe pump (New Era Pump Systems Inc., Farmingdale, N.Y., Model # NE-1000) was placed in communication with the small inlet to pump the polymer solution. Initial optimization of the continuous process utilizing this device has yielded condi- 40 tions where polymer fibers less than 500 nm in diameter are formed at rates of 20 g/min or greater and often significantly

FIG. **18**A is an SEM micrograph of nanofibers produced by a batch process utilizing an apparatus such as illustrated in FIG. **1**. By comparison, FIGS. **18**B, **18**C and **18**D are SEM micrographs of nanofibers produced by a continuous process utilizing an apparatus such as illustrated in FIG. **10** and having the specifications just described above. The process conditions were as follows. FIG. **18**A: 10% w/w PS in CHCl<sub>3</sub>, sheared in an antisolvent of 80% glycerol:20% EtOH (w/w) at 6000 rpm, and washed with EtOH. FIG. **18**B: 15% w/w PS in CHCl<sub>3</sub>, manually injected into an antisolvent of 70% glycerol:20% EtOH:10% water (w/w) flowing at a flow rate of 82 ml/s, washed with EtOH. FIG. **18**C: 30% w/w PMMA in CHCl<sub>3</sub>, sheared in an antisolvent of 65% glycerol:25% EtOH: 10% water (w/w) flowing at 90 psi, washed with EtOH. FIG. **18**D: 10% w/w CA in acetone, sheared in an antisolvent of 75% glycerol:25% water (w/w) flowing at 90 psi, washed with water.

TABLE 2

Very fine Nanofiber Diameter Measurement Data									
				Diameter distribution					
Polymer	Avg. Dia. (nm)	Std. dev. (nm)	10 <sup>th</sup> percentile (nm)	25 <sup>th</sup> percentile (nm)	Median fiber diameter (nm) ntinuous Metho	75 <sup>th</sup> percentile (nm)	90 <sup>th</sup> percentile (nm)	% fibers < 1 μm	# of fibers in sample
PS	186	121	116	131	155	187	260	100	300

greater than 20 g/min. TABLE 1 below provides data from sample production runs utilizing the continuous device and employing polystyrene (PS), poly(methyl methacrylate) (PMMA), and cellulose acetate (CA) as the dispersion medium; and, for comparative purposes, data from a production run utilizing a batch device similar to that illustrated in FIG. 1 and employing PS. It is believed that the production rate is easily scalable to greater than 100 g/min in single-conduit configurations, and to multiples of 100 g/min or greater in multi-conduit configurations. Generally, higher 65 injection rates of the fiber precursor solution result in higher fiber production, due to faster shearing, more fiber precursor

Recently, polystyrene nanofibers having a median diameter of 155 nm (see TABLE 2 above) have been synthesized using the continuous method. The nanofibers were formed in a very small diameter tube (3 mm inner diameter) at an antisolvent flow rate of 55 mL/sec and a very low polymer flowrate of 5 mL/min. The concentration of the polymer solvent was 12% w/w PS in tetrahydrofuran solvent, sheared in an antisolvent of 80% glycerol:20% Water or 75% glycerol:25% Water (w/w). FIG. 19 shows SEM images (at different magnifications) of the very fine polystyrene nanfibers formed by the continuous process. For the very fine PS nanofibers, FIG. 20 shows fiber diameter distribution with

frequency (%) plotted as a function of fiber diameter (nm). The plot indicates that all of the fine fibers have a diameter below 1  $\mu$ m (also see TABLE 2 above) and most of the fibers have a diameter ranging from 100 to 250 nm.

From the foregoing description, it will be appreciated that 5 the continuous processes may enable the fabrication of fine nanofibers to be carried out continuously, in the bulk of a fluid, by maintaining and controlling only the flow rates and pressures in the system, without direct input of mechanical energy or mechanical agitation in the shear process itself. 10 Various implementations of the continuous nanofiber production process and associated device and system may enable high production capacity with simultaneous improvement of the level of process control and a decrease in manufacturing cost. Various implementations of the continuous process and associated device and system may provide one or more of the following advantages, in comparison with conventional continuous nanofiber production processes and batch processes. The continuous process may provide lower labor and operating costs, in that the continuous process eliminates the need to 20 regularly stop, clean, start and recalibrate machinery, resulting in significant operational cost savings. The continuous process may provide more simplicity in that it utilizes a small-footprint device of low capital cost, and which is easy to operate and integrate with other manufacturers' existing 25 continuous or in-line processes, while potentially producing orders of magnitude more fibers than batch methods. The simple design of the device may result in very low cost for machine fabrication and correspondingly low capital costs per unit production rate. The continuous process may provide higher reliability, low maintenance and less frequent recalibration after repair, as the continuous process utilizes no moving parts, apart from the pumps employed to transport and pressurize the liquids involved in the production process. Like the batch process, it is of particular note that the continuous process does not require the use of nozzles, and thus is not subject to clogging, which is particularly useful when adding additives is desired. The continuous process may provide continuous/instantaneous control and thus more uniform process conditions, as compared with batch processes in 40 which process conditions may vary over the course of a production run. The production rate of the continuous process may easily be scaled up by, for example, increasing the diameter of the conduit utilized to flow the dispersion system, or operating several conduits in parallel. A single, high-capacity 45 pump may be utilized to supply dispersion medium to several parallel conduits. The continuous process does not involve solvent evaporation, which is an environmental problem associated with dry-spinning and electrospinning techniques. Moreover, various dispersion media suitable for the continu- 50 ous process (e.g., glycerin) are environmentally benign and easily recyclable. The system associated with the continuous process may be configured to provide feedback corrections that instantaneously correct for unforeseen events. A stoppage in a continuous production run will result in less waste 55 than in a large batch process. The system associated with the continuous process may be configured to provide continuous process parameter and product quality monitoring and thus allow tight control over fiber specifications and uniformity.

It will be understood that various aspects or details of the 60 invention may be changed without departing from the scope of the invention. Furthermore, the foregoing description is for the purpose of illustration only, and not for the purpose of limitation—the invention being defined by the claims.

What is claimed is:

1. A method for fabricating nanofibers, the method comprising: flowing a dispersion medium through a conduit;

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introducing a fiber precursor solution into the dispersion medium to form a dispersion system comprising the dispersion medium and a plurality of dispersed-phase components of the fiber precursor solution, wherein the fiber precursor solution comprises a polymer dissolved in a polymer solvent, and the dispersion medium comprises an anti-solvent; and shearing the dispersed-phase components by flowing the dispersion system through the conduit, wherein a plurality of nanofibers are formed in the dispersion medium.

- 2. The method of claim 1, wherein the nanofibers are formed at a rate of 2 g/min or higher.
- 3. The method of claim 1, wherein the dispersion medium is flowed at a flow rate of 30 mL/sec or higher.
- **4**. The method of claim **1**, wherein the fiber precursor solution is introduced at a flow rate of 5 mL/min or higher.
- 5. The method of claim 1, wherein the conduit comprises an inlet into which the dispersion medium enters, an outlet, a length from the inlet to the outlet, and a cross-sectional flow area having a characteristic dimension, and the ratio of the length to the characteristic dimension is 10 or greater.
- **6**. The method of claim **1**, wherein the conduit comprises an inlet into which the dispersion medium enters, an outlet, and a cross-sectional flow area, and the cross-sectional flow area is constant from the inlet to the outlet.
- 7. The method of claim 1, wherein the conduit comprises an inlet into which the dispersion medium enters, an outlet, and a cross-sectional flow area, and the cross-sectional flow area at the outlet is less than the cross-sectional flow area at the inlet.
- **8**. The method of claim **7**, wherein the cross-sectional flow area is reduced gradually from the inlet to the outlet.
- 9. The method of claim 7, wherein the cross-sectional flow area is reduced at one or more transitions between the inlet and the outlet.
- 10. The method of claim 1, wherein the conduit comprises an inlet into which the dispersion medium enters, an outlet, a cross-sectional flow area, and a transition between the inlet and the outlet, and wherein the cross-sectional flow area has a first shape upstream of the transition and a second shape downstream of the transition, and the second shape is substantially reduced in at least one dimension relative to a corresponding dimension of the first shape.
- 11. The method of claim 1, wherein the fiber precursor solution is introduced into the dispersion medium in a direction selected from the group consisting of: the same direction as the flow of the dispersion medium, the direction opposite to the flow of the dispersion medium, and a direction orthogonal to the flow of the dispersion medium.
- 12. The method of claim 1, wherein the conduit through which the dispersion medium flows is a first conduit, and introducing the fiber precursor solution comprises flowing the fiber precursor solution through a second conduit comprising an outlet communicating with the first conduit, and further comprising adjusting a radial position of the outlet relative to a central axis of the first conduit.
- 13. The method of claim 1, wherein the fiber precursor solution is introduced into the dispersion medium in the form of pre-formed dispersion of droplets, which are further sheared to form nanofibers.
- 14. The method of claim 1, wherein the polymer nanofibers have an average diameter ranging from 40 nm to 5000 nm.
- 15. The method of claim 1, wherein the dispersion medium has a viscosity of 1 cP or greater.
- 16. The method of claim 1, wherein the ratio of viscosity of the fiber precursor solution to viscosity of the dispersion medium ranges from 0.1 to 200.

- 17. The method of claim 1, comprising introducing an additive to the dispersion medium wherein the nanofibers comprise the polymer and the additive retained by the polymer, and wherein introducing occurs at a time selected from the group consisting of: before introducing the fiber precursor solution into the dispersion medium, while introducing the fiber precursor solution into the dispersion medium, after introducing the fiber precursor solution into the dispersion medium, and combinations of two or more of the foregoing.
- 18. The method of claim 1, comprising controlling a shear stress applied to the dispersed-phase components while shearing by controlling a parameter selected from the group consisting of: a viscosity of the dispersion medium, a flow rate of the dispersion medium through the conduit, and both the viscosity and the flow rate.
- 19. The method of claim 1, comprising controlling an average diameter of the nanofibers by controlling a shear stress applied to the dispersed-phase components while shearing.
- **20**. The method of claim **1**, wherein shearing the dispersed- <sup>20</sup> phase components comprises applying a shear stress ranging from about 10 Pa to about 1000 Pa.
- 21. The method of claim 1, wherein flowing the dispersion medium comprises flowing the dispersion medium through a plurality of conduits, and introducing the fiber precursor solution comprises introducing the fiber precursor solution into the dispersion medium flowing through each conduit.
- 22. The method of claim 1, wherein the fiber precursor solution comprises a mixture of a polymer solution and an inorganic precursor, the polymer solution comprises the polymer dissolved in the polymer solvent, and shearing the dispersed-phase components causes phase separation between the polymer and the inorganic precursor such that a plurality of inorganic fibrils are formed in each nanofiber.
- 23. The method of claim 22, wherein the inorganic precursor is selected from the group consisting of titania precursors, silica precursors, alumina precursors, zirconia precursors, bioceramic precursors, bioactive glass precursors, methoxides, ethoxides, sec-butoxides, and a combination of two or more of the foregoing.
- **24**. The method of claim **22**, wherein the inorganic precursor comprises a hydrolysable metal compound.

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- 25. The method of claim 22, comprising introducing an additive to the dispersion medium wherein the composite nanofibers comprise the polymer, the inorganic fibrils, and the additive retained by the polymer, and wherein introducing occurs at a time selected from the group consisting of: before introducing the mixture into the dispersion medium, while introducing the mixture into the dispersion medium, after introducing the mixture into the dispersion medium, and combinations of two or more of the foregoing.
- 26. The method of claim 22, comprising forming an inorganic compound from the inorganic precursor, wherein the inorganic fibrils comprise the inorganic compound.
- 27. The method of claim 26, wherein forming the inorganic compound comprises reacting the inorganic precursor with a reagent.
- 28. The method of claim 27, wherein forming the inorganic compound comprises performing a step selected from the group consisting of: adding the reagent to the dispersion medium before introducing the mixture, adding the reagent to the dispersion medium while introducing the mixture, adding the reagent to the dispersion medium after introducing the mixture, separating the composite nanofibers from the dispersion medium and exposing the separated composite nanofibers to the reagent, and a combination of two or more of the foregoing.
- 29. The method of claim 26, wherein forming the inorganic compound comprises irradiating the inorganic precursor with thermal or electromagnetic energy.
- 30. The method of claim 26, comprising removing the polymer from the inorganic fibrils.
- 31. The method of claim 30, wherein the inorganic fibrils have an average diameter ranging from 1 nm to 1,000 nm.
- 32. The method of claim 30, wherein removing the polymer from the inorganic fibrils comprises subjecting the polymer to a process selected from the group consisting of calcining, chemical treatment, thermal oxidation, dissolution, enzymatic degradation, and a combination of two or more of the foregoing.
- 33. The method of claim 30, wherein removing the polymer from the inorganic fibrils comprises calcining the composite nanofibers at a temperature of 200° C. or greater.

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